

A CLASS OF C^* -ALGEBRAIC LOCALLY COMPACT QUANTUM GROUPOIDS PART I. MOTIVATION AND DEFINITION

BYUNG-JAY KAHNG AND ALFONS VAN DAELE

ABSTRACT. In this series of papers, we develop the theory of a class of locally compact quantum groupoids, which is motivated by the purely algebraic notion of weak multiplier Hopf algebras. In this Part I, we provide motivation and formulate the definition in the C^* -algebra framework. Existence of a certain canonical idempotent element is required and it plays a fundamental role, including the establishment of the coassociativity of the comultiplication. This class contains locally compact quantum groups as a subclass.

INTRODUCTION.

Let G be a *groupoid* over the set of units $G^{(0)}$, together with the maps $s : G \rightarrow G^{(0)}$ and $t : G \rightarrow G^{(0)}$ (the “source map” and the “target map”). This means that there is a set of “composable pairs” $G^{(2)} = \{(p, q) \in G \times G : s(p) = t(q)\}$, on which the product pq in G is defined. This product is assumed to be associative, in an appropriate sense. The set of units, $G^{(0)}$, may be naturally regarded as a subset of G . There exists also the inverse map $p \mapsto p^{-1}$ (so that $(p^{-1})^{-1} = p$), for which we have $s(p^{-1}) = t(p)$, $t(p^{-1}) = s(p)$, and satisfying some natural conditions. When $G^{(0)}$ is a single element set, then G becomes an ordinary group. For a more detailed discussion on the definition and the basic theory of groupoids, refer to [3], [12]. The groupoid notion can be further extended to incorporate locally compact topology, which is the notion of a *locally compact groupoid*. See [28], [26].

Our main goal is to develop an appropriate notion of a locally compact quantum groupoid, which would generalize the category of locally compact quantum groups. At the purely algebraic level, attempts in this direction have been around for some time, with works by Maltziniotis [23] (when the unit space is commutative), Lu [22] (“Hopf algebroids”), Xu [39] (“quantum universal enveloping algebroids”), for instance. In a more operator algebraic setting, there is a work by Yamanouchi [40] (“generalized Kac algebras”). Among these, our approach below is closely related to the notion of *weak Hopf algebras* (by Böhm, Nill, Szlachányi [1], [2]), also referred to as *finite*

2010 *Mathematics Subject Classification.* 46L65, 46L51, 81R50, 16T05, 22A22.

Key words and phrases. Locally compact quantum groupoid, Weak multiplier Hopf algebra, Separability idempotent.

quantum groupoids. See also [25], [32]. We note also the work by Hayashi [11], who introduced the notion of a “face algebra”. It was originally motivated by the theory of solvable lattice models, but soon observed to be a weak bialgebra, and essentially a quantum groupoid whose unit space is finite.

Suppose G is a groupoid. For the time being, let us disregard any topology on G and consider $A = K(G)$, the set of all complex-valued functions on G having finite support. Under the pointwise multiplication, A becomes a commutative algebra. In particular, if G is a finite groupoid, the algebra A becomes unital, whose unit is denoted by 1. There exists a map $\Delta : A \rightarrow A \otimes A$ (the “comultiplication”), defined by

$$(\Delta f)(p, q) := \begin{cases} f(pq) & \text{if } s(p) = t(q) \\ 0 & \text{otherwise} \end{cases}$$

Here, \otimes denotes the algebraic tensor product. The “antipode” map is given by $S(f)(p) = f(p^{-1})$. In this case, it can be shown that (A, Δ) is given a structure of a weak Hopf algebra.

A weak Hopf algebra is in general noncommutative, but includes the above example as a fundamental case. Recently, this notion has been further generalized to include the case of non-finite groupoids (so the algebra A is non-unital), which is the notion of a *weak multiplier Hopf algebra* developed by one of us (Van Daele) and Wang. Here, we have $\Delta : A \rightarrow M(A \otimes A)$, into the multiplier algebra of $A \otimes A$. For fuller description, refer to [36], [37].

We are interested in developing its topological version, in the C^* -algebra framework, which would give us a *locally compact quantum groupoid*. But first, let us review a little about locally compact groupoids. In the standard theory on locally compact groupoids (see [28], [26]), in addition to requiring that the topology on G is compatible with the groupoid structure on G (including the unit space, the source and target maps, the multiplication and the inverse map), one also requires the existence of a suitable “left Haar system”.

Briefly speaking, a left Haar system for a locally compact groupoid G is a family $\{\lambda^u\}_{u \in G^{(0)}}$, where each λ^u is a positive regular Borel measure on $G^u := t^{-1}\{u\}$, such that

- For each $g \in C_c(G)$, the function $u \mapsto \int_{G^u} g d\lambda^u$ belongs to $C_c(G^{(0)})$;
- For any $x \in G$ and $f \in C_c(G)$, we have:

$$\int_{G^{s(x)}} f(xz) d\lambda^{s(x)}(z) = \int_{G^{t(x)}} f(y) d\lambda^{t(x)}(y). \quad (0.1)$$

Equation (0.1) is referred to as the *left invariance condition*. When G is a locally compact group, so $G^{(0)} = \{e\}$, this degenerates into the familiar left invariance condition for the left Haar measure on G . In the left side of the equation, $z \in G^{s(x)} = t^{-1}\{s(x)\}$, because xz is valid only when $t(z) = s(x)$. In the right hand side, we can observe that $x \mapsto \int_{G^{t(x)}} f(y) d\lambda^{t(x)}(y)$ is a function of $t(x)$, so contained in the “target algebra”.

Meanwhile, using the inverse map $x \mapsto x^{-1}$, which is a homeomorphism on G , one can associate to each λ^u the measure λ_u on $G_u := s^{-1}\{u\}$. That is, $\lambda_u(V) = \lambda^u(V^{-1})$, for any Borel subset V of G_u . In this way, one obtains a “right Haar system” $\{\lambda_u\}$. It would satisfy the *right invariance condition*, analogous to Equation (0.1).

Since the unit space is no longer a single element set, one also needs to consider a measure, ν , on $G^{(0)}$. However, for the theory to be properly developed, one needs to require what is called a “quasi-invariance condition” on ν . To explain, note that the measure ν on $G^{(0)}$ and the left Haar system determine a measure on G , which in turn will determine a functional (weight) φ , as follows:

$$\varphi(f) = \int_{G^{(0)}} d\nu(u) \int_{G^u} f(x) d\lambda^u(x). \quad (0.2)$$

Similarly, the measure ν and the right Haar system will determine another measure on G , which in turn will produce a weight ψ . The measure ν is called *quasi-invariant*, if the two resulting measures on G , namely φ and ψ , are mutually absolutely continuous. All these are standard results. See [28], [26] for more details.

The above review on locally compact groupoids means that the data we expect to have for a general locally compact quantum groupoid are $(A, \Delta, B, \nu, S, \varphi)$, where A is a C^* -algebra, taking the role of $C_0(G)$; Δ is the comultiplication on A , corresponding to the multiplication on G ; B is a sub- C^* -algebra of $M(A)$, taking the role of the unit space $G^{(0)}$; ν is a suitable weight on B ; S is the antipode map, corresponding to the inverse map on G ; φ is the left Haar weight on A , incorporating the information about the left Haar system and the left invariance.

Or, equivalently, we may consider $(A, \Delta, B, \nu, \varphi, \psi)$, where ψ is the right Haar weight. The weights φ and ψ would be related via S , so we expect to recover the antipode S from φ and ψ . This approach is analogous to what was done in [19] and [20] in the theory of locally compact quantum groups. In fact, in a separate paper by the authors [13], we were able to carry out this exact idea in the purely algebraic setting of weak multiplier Hopf algebras.

In the general theory of weak multiplier Hopf algebras, a fundamental role is played by a certain canonical idempotent element E , which is just $\Delta(1)$ if A has identity. To get a sense of this, consider the special case $A = K(G)$ corresponding to a groupoid G , and consider

$$E(p, q) := \begin{cases} 1 & \text{if } s(p) = t(q) \\ 0 & \text{otherwise} \end{cases}.$$

Observe that $E(p, q) = E(s(p), t(q))$. If we write B to be the subalgebra of $M(A)$ given by the pull-back of the algebra $K(G^{(0)})$ via the source map $s : G \rightarrow G^{(0)}$, and $C \subseteq M(A)$ the pull-back of the algebra $K(G^{(0)})$ via the target map $t : G \rightarrow G^{(0)}$, we will have $E \in M(B \otimes C) \subseteq M(A \otimes A)$. It turns

out that $E \in M(B \otimes C)$ satisfies the conditions to be regarded as what is known as a *separability idempotent*. See [14].

Meanwhile, even when A is unital, we have $\Delta(1) = E \neq 1 \otimes 1$, unless G is a group. This means that the comultiplication map Δ cannot be a non-degenerate map. This is significant, because in the quantum group theory, the non-degeneracy of $\Delta : A \rightarrow M(A \otimes A)$ allows one to extend Δ to the multiplier level. Without the non-degeneracy of Δ , so no straightforward mechanism to extend Δ to $M(A)$, it is not obvious how to make sense of the coassociativity condition: $(\Delta \otimes \text{id})\Delta = (\text{id} \otimes \Delta)\Delta$. Fortunately, using the existence of E , one can show that Δ does extend to $M(A)$. This is how the notion of a *weak multiplier Hopf algebra* is formulated [36], [37].

In this paper, by requiring the existence of such an idempotent E in the C^* -algebra framework and by axiomatizing its properties, we aim to develop a notion of a locally compact quantum groupoid that would be a C^* -algebraic analogue of a weak multiplier Hopf algebra.

The theory is further developed in Part II [15], where the left/right regular representations and the antipode map are constructed and the quasi-invariance of ν is proved. The resulting class of locally compact quantum groupoids, given by the data $(A, \Delta, E, B, \nu, \varphi, \psi)$, contains as a special case all locally compact quantum groups (when $B = \mathbb{C}$ and $E = 1 \otimes 1$). Later (in Part III in our series [16]), we aim to show that this class forms a self-dual category, satisfying a generalized Pontryagin-type duality.

However, in general, one cannot always expect such an idempotent element E to exist as a bounded operator. The existence of E restricts the possible choice of (B, ν) , which means that our class of locally compact quantum groups would not be fully general.

In the purely algebraic setting, a more general theory is currently being developed, namely, the notion of *multiplier Hopf algebroids*, by Timmermann and the second-named author (Van Daele) [31]. Meanwhile, in the von Neumann algebraic setting, there exists a closely related notion of *measured quantum groupoids*, by Lesieur [21] and Enock [9].

In the measured quantum groupoid approach, the comultiplication is defined as a map from a von Neumann algebra M into $M \underset{N}{*} M$, a “fiber product” of M with itself. Unfortunately, the notion of a fiber product of von Neumann algebras (over a “relative tensor product” of Hilbert spaces) is highly technical, and moreover, there is no direct analogue of such a notion in the C^* -algebra framework.

Such being the current status of the research, the authors feel that it is still quite worthwhile to study this (sub)class of C^* -algebraic locally compact quantum groupoids based on the notion of weak multiplier Hopf algebras, with the comultiplication defined as map from A into $M(A \otimes A)$. While restrictive, this is precisely the reason our framework can be more accessible than the rather technical approaches like the measured quantum groupoids or the Hopf algebroids. In addition, the theory itself is rich in nature, being a self-dual category that naturally extends the theory of locally compact

quantum groups. These aspects will be further developed in the upcoming papers in the series ([15], [16]).

Let us also point out the fact that until now, there has not been any C^* -algebraic theory developed for non-unital (so non-compact) cases. This is done in the present paper. Our framework includes some recent nontrivial examples (for instance, [6], [8], [7]), and we expect to construct other examples in the upcoming years. As the more general C^* -algebraic theory based on Hopf algebroids is still some time away from being developed, our proposed framework here will provide us with a nice bridge.

The current paper is organized as follows. In Section 1, we gather some basic results concerning C^* -algebra weights. The purpose here is to set the terminologies and notations to be used in later sections as well as in Parts II and III. We skip most of the proofs, giving references instead.

In Section 2, we review the notion of a “separability idempotent” in the C^* -algebra setting. As noted above, the existence of such an element plays an important role in our approach. More details can be found in a separate paper by the authors [14].

After these preliminaries, definitions and immediate properties of the comultiplication Δ and the canonical idempotent E are given in Section 3. In particular, we show how the existence of E allows us to extend the comultiplication map to the multiplier algebra level, thereby enabling us to make better sense of the coassociativity condition.

Definitions for the left and the right Haar weights are given in Section 4. We then give the main definition of our locally compact quantum groupoid. In Section 5, we give some examples. As the proper theory is yet to be developed (in Parts II and III), we included only the basic examples here.

The series continues in Part II [15]. In that paper, we construct from the defining axioms the partial isometries V and W , which are essentially the right and the left regular representations. We will also construct the antipode map S and its polar decomposition. Later in Part III [16], we will consider the dual object, which is also a locally compact quantum groupoid of our type. We will then obtain the Pontryagin-type duality result.

ACKNOWLEDGMENTS.

The current work began during the first named author (Kahng)’s sabbatical leave visit to the University of Leuven during 2012/2013. He is very much grateful to his coauthor (Van Daele) and the mathematics department at University of Leuven for their warm support and hospitality during his stay. He also wishes to thank Michel Enock for the hospitality and support he received while he was visiting Jussieu. The discussions on measured quantum groupoids, together with his encouraging words, were all very inspiring and helpful.

1. PRELIMINARIES ON C^* -ALGEBRA WEIGHTS

In this section, we review some basic notations and results concerning the weights on C^* -algebras, which will be useful later. For standard terminologies and for a more complete treatment on C^* -algebra weights, refer to [4], [29], [30]. There is also a nice survey [18], and one may also refer to section 1 of [19].

1.1. Proper weights. Let A be a C^* -algebra. A function $\psi : A^+ \rightarrow [0, \infty]$ is called a weight on A , if $\psi(x + y) = \psi(x) + \psi(y)$ for all $x, y \in A^+$ and $\psi(\lambda x) = \lambda\psi(x)$ for all $x \in A^+$ and $\lambda \in \mathbb{R}^+$, with the convention that $0 \cdot \infty = 0$.

Given a weight ψ on A , we can consider the following subspaces:

$$\begin{aligned}\mathfrak{M}_\psi^+ &:= \{a \in A^+ : \psi(a) < \infty\} \\ \mathfrak{N}_\psi &:= \{a \in A : \psi(a^*a) < \infty\} \\ \mathfrak{M}_\psi &:= \mathfrak{N}_\psi^* \mathfrak{N}_\psi = \text{span}\{y^*x : x, y \in \mathfrak{N}_\psi\}\end{aligned}$$

It is easy to see that \mathfrak{N}_ψ is a left ideal in $M(A)$, and that $\mathfrak{M}_\psi \subseteq \mathfrak{N}_\psi$. Moreover, \mathfrak{M}_ψ is a $*$ -subalgebra of A spanned by \mathfrak{M}_ψ^+ , which is its positive part. Extending ψ on \mathfrak{M}_ψ^+ , we naturally obtain a map from \mathfrak{M}_ψ into \mathbb{C} , which we will still denote by ψ .

A weight ψ is said to be faithful, if $\psi(a) = 0$, $a \in A^+$, implies $a = 0$. We say a weight ψ is densely-defined (or semi-finite), if \mathfrak{N}_ψ is dense in A . The weights we will be considering below are ‘‘proper weights’’: A proper weight on a C^* -algebra is a non-zero, densely-defined weight, which is also lower semi-continuous.

It is useful to consider the following sets, first considered by Combes [4]:

$$\begin{aligned}\mathcal{F}_\psi &:= \{\omega \in A_+^* : \omega(x) \leq \psi(x), \forall x \in A^+\}, \\ \mathcal{G}_\psi &:= \{\alpha\omega : \omega \in \mathcal{F}_\psi, \text{ for } \alpha \in (0, 1)\}.\end{aligned}$$

Here A^* denotes the norm dual of A . Note that on \mathcal{F}_ψ , one can give a natural order inherited from A_+^* . Then \mathcal{G}_ψ is a directed subset of \mathcal{F}_ψ . Because of this, \mathcal{G}_ψ is often used as an index set (of a net). For a proper weight, being lower semi-continuous, we would have: $\psi(x) = \lim_{\omega \in \mathcal{G}_\psi} (\omega(x))$, for $x \in A^+$.

One can associate to ψ a GNS-construction $(\mathcal{H}_\psi, \pi_\psi, \Lambda_\psi)$. Here, \mathcal{H}_ψ is a Hilbert space, $\Lambda_\psi : \mathfrak{N}_\psi \rightarrow \mathcal{H}_\psi$ is a linear map such that $\Lambda_\psi(\mathfrak{N}_\psi)$ is dense in \mathcal{H}_ψ , and $\langle \Lambda_\psi(a), \Lambda_\psi(b) \rangle = \psi(b^*a)$ for $a, b \in \mathfrak{N}_\psi$. Since ψ is assumed to be lower semi-continuous, we further have that Λ_ψ is closed. And, π_ψ is the GNS representation of A on \mathcal{H}_ψ , given by $\pi_\psi(a)\Lambda_\psi(b) = \Lambda_\psi(ab)$ for $a \in A$, $b \in \mathfrak{N}_\psi$. The GNS representation is non-degenerate, and the GNS construction is unique up to a unitary transformation.

Every $\omega \in A^*$ has a unique extension to the level of the multiplier algebra $M(A)$, which we may still denote by ω . From this fact, it follows easily that any proper weight ψ on A has a natural extension to the weight $\bar{\psi}$ on $M(A)$. For convenience, we will use the notations $\bar{\mathfrak{N}}_\psi = \mathfrak{N}_{\bar{\psi}}$ and $\bar{\mathfrak{M}}_\psi = \mathfrak{M}_{\bar{\psi}}$. Also,

the GNS construction for a proper weight ψ on A has a natural extension to the GNS construction for $\bar{\psi}$ on $M(A)$, with $\mathcal{H}_{\bar{\psi}} = \mathcal{H}_{\psi}$. Often, we will just write ψ for $\bar{\psi}$.

1.2. KMS weights. Of particular interest is the notion of KMS weights (see [4], and Chapter VIII of [30]). The notion as defined below (due to Kustermans [17]) is slightly different from the original one given by Combes, but equivalent.

Definition 1.1. Let ψ be a faithful, semi-finite, lower semi-continuous weight. It is called a *KMS weight*, if there exists a norm-continuous one-parameter group of automorphisms $(\sigma_t)_{t \in \mathbb{R}}$ of A such that $\psi \circ \sigma_t = \psi$ for all $t \in \mathbb{R}$, and

$$\psi(a^*a) = \psi(\sigma_{i/2}(a)\sigma_{i/2}(a)^*) \text{ for all } a \in \mathcal{D}(\sigma_{i/2}).$$

Here, $\sigma_{i/2}$ is the analytic generator of the one-parameter group (σ_t) at $z = i/2$, and $\mathcal{D}(\sigma_{i/2})$ is its domain. In general, with the domain properly defined, it is known that $\sigma_z, z \in \mathbb{C}$, is a closed, densely-defined map.

The one-parameter group (σ_t) is called the “modular automorphism group” for ψ . It is uniquely determined because ψ is faithful. In the special case when ψ is a trace, that is, $\psi(a^*a) = \psi(aa^*)$ for $a \in \mathfrak{N}_{\psi}$, it is clear that ψ is KMS, with the modular automorphism group being trivial ($\sigma \equiv \text{Id}$). In a sense, the KMS condition provides somewhat of a control over the non-commutativity of A .

Basic properties of KMS weights can be found in [30], [17], [18]. In particular, there exists a (unique) anti-unitary operator J (the “modular conjugation”) on \mathcal{H}_{ψ} such that $J\Lambda_{\psi}(x) = \Lambda_{\psi}(\sigma_{i/2}(x)^*)$, for $x \in \mathfrak{N}_{\psi} \cap \mathcal{D}(\sigma_{i/2})$. There exists also a strictly positive operator ∇ (the “modular operator”) on \mathcal{H}_{ψ} such that $\nabla^{it}\Lambda_{\psi}(a) = \Lambda_{\psi}(\sigma_t(a))$, for $a \in \mathfrak{N}_{\psi}$ and $t \in \mathbb{R}$. See below for a standard result, which can be easily extended to elements in the multiplier algebra:

Lemma 1.2. *Let ψ be a KMS weight on a C^* -algebra A , with GNS representation $(\mathcal{H}_{\psi}, \pi_{\psi}, \Lambda_{\psi})$. Then we have:*

- (1) *Let $a \in \mathcal{D}(\sigma_{i/2})$ and $x \in \mathfrak{N}_{\psi}$. Then $xa \in \mathfrak{N}_{\psi}$ and $\Lambda_{\psi}(xa) = J\pi_{\psi}(\sigma_{i/2}(a))^*J\Lambda_{\psi}(x)$.*
- (2) *Let $a \in \mathcal{D}(\sigma_{-i})$ and $x \in \mathfrak{M}_{\psi}$. Then $ax, x\sigma_{-i}(a) \in \mathfrak{M}_{\psi}$ and $\psi(ax) = \psi(x\sigma_{-i}(a))$.*
- (3) *Let $x \in \mathfrak{N}_{\psi} \cap \mathfrak{N}_{\psi}^*$, and $a \in \mathfrak{N}_{\psi}^* \cap \mathcal{D}(\sigma_{-i})$ be such that $\sigma_{-i}(a) \in \mathfrak{N}_{\psi}$. Then $\psi(ax) = \psi(x\sigma_{-i}(a))$.*

Remark. It is known that when we lift a KMS weight to the level of von Neumann algebra $\pi_{\psi}(A)''$ in an evident way, we obtain a normal, semi-finite, faithful (“n.s.f.”) weight $\tilde{\psi}$. See [30]. In addition, the modular automorphism group $(\sigma_t^{\tilde{\psi}})$ for the n.s.f. weight $\tilde{\psi}$ leaves the C^* -algebra B invariant, and the

restriction of $(\sigma_t^{\tilde{\psi}})$ to B coincides with our (σ_t) . The operators J, ∇ above are none other than the restrictions of the corresponding operators arising in the standard Tomita–Takesaki theory of von Neumann algebra weights.

In Tomita–Takesaki theory, a useful role is played by the “Tomita *-algebra”. In our case, consider the n.s.f. weight $\tilde{\psi}$ on the von Neumann algebra $\pi_\psi(A)''$. Then the Tomita *-algebra for $\tilde{\psi}$, denoted by $\mathcal{T}_{\tilde{\psi}}$, is as follows:

$$\mathcal{T}_{\tilde{\psi}} := \{x \in \mathfrak{N}_{\tilde{\psi}} \cap \mathfrak{N}_{\tilde{\psi}}^* : x \text{ is analytic w.r.t. } \sigma^{\tilde{\psi}} \text{ and } \sigma_z^{\tilde{\psi}}(x) \in \mathfrak{N}_{\tilde{\psi}} \cap \mathfrak{N}_{\tilde{\psi}}^*, \forall z \in \mathbb{C}\}.$$

It is a strongly *-dense subalgebra in $\pi_\psi(A)''$. Refer to the standard textbooks on the modular theory [30], [29]. In particular, for any $z \in \mathbb{C}$, we have $\sigma_z^{\tilde{\psi}}(\mathcal{T}_{\tilde{\psi}}) = \mathcal{T}_{\tilde{\psi}}$. So, the $\sigma_z^{\tilde{\psi}}$ are densely-defined, as well as have dense ranges.

It is also known that $\mathcal{T}_\psi := \mathcal{T}_{\tilde{\psi}} \cap A$ is (norm)-dense in A , so turns out to be useful as we work with the weight ψ at the C^* -algebra level. For the σ_z^ψ , $z \in \mathbb{C}$, we have $\sigma_z^\psi(\mathcal{T}_\psi) = \sigma_z^\psi(\mathcal{T}_{\tilde{\psi}} \cap A) = \mathcal{T}_{\tilde{\psi}} \cap A = \mathcal{T}_\psi$. So, as above, the σ_z^ψ are densely-defined, as well as have dense ranges.

1.3. Central weights (approximate KMS weights). A proper weight ψ on a C^* -algebra A is said to be a “central weight” [34], if the support projection of its extension weight $\tilde{\psi}$ to the level of the double dual A^{**} is a central projection in A^{**} . This notion turns out to be equivalent to that of an “approximate KMS weight”, considered by Kustermans and Vaes [19] in their theory of locally compact quantum groups. While being a faithful central weight is a weaker condition than being a KMS weight, ψ remains faithful when extended to $\pi_\psi(A)''$, giving us an n.s.f. weight.

We intend to work primarily with the more familiar notion of KMS weights in our series of papers. Yet, it is worth noting that often, we can weaken the requirements to work instead with the central weights. We will consider some of these questions in Part III.

1.4. Slicing with weights. Let A and B be two C^* -algebras, with a proper weight ψ on A and its associated GNS-triple $(\mathcal{H}_\psi, \pi_\psi, \Lambda_\psi)$. One can make sense of the slice map $\psi \otimes \text{id}$, which would be an operator-valued weight. Of course, it is also possible to consider the slice from the other side. Here we collect some notations and results, without proof. See section 3 of [18].

For $x \in M(A \otimes B)^+$, we say $x \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}^+$, if the net $((\theta \otimes \text{id})(x))_{\theta \in \mathcal{G}_\psi}$ is strictly convergent in B . So, for $x \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}^+$, one can define $(\psi \otimes \text{id})(x) \in M(B)$. Analogously as before, one can extend $\psi \otimes \text{id}$ to $\overline{\mathfrak{M}}_{\psi \otimes \text{id}}$, the linear span of $\overline{\mathfrak{M}}_{\psi \otimes \text{id}}^+$ in $M(A \otimes B)$. It is a *-subalgebra of $M(A \otimes B)$. We can also consider $\overline{\mathfrak{N}}_{\psi \otimes \text{id}} := \{x \in M(A \otimes B) : x^*x \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}^+\}$, which is a left ideal in $M(A \otimes B)$.

Here are some results:

Proposition 1.3. *Consider $x \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}$ and $\omega \in B^*$. Then $(\text{id} \otimes \omega)(x) \in \overline{\mathfrak{M}}_{\psi}$, and we have: $\psi((\text{id} \otimes \omega)(x)) = \omega((\psi \otimes \text{id})(x))$.*

Proposition 1.4. *Let $x \in M(A \otimes B)^+$ and $b \in M(B)^+$ be such that $(\text{id} \otimes \omega)(x) \in \overline{\mathfrak{M}}_{\psi}^+$ and that $\psi((\text{id} \otimes \omega)(x)) = \omega(b)$ for all $\omega \in B_+^*$. Then $x \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}^+$, and we have: $(\psi \otimes \text{id})(x) = b$.*

Proposition 1.5. *Let $x, y \in \overline{\mathfrak{N}}_{\psi \otimes \text{id}}$. Then the following “generalized Cauchy–Schwarz inequality” holds:*

$$((\psi \otimes \text{id})(y^*x))^* ((\psi \otimes \text{id})(y^*x)) \leq \|(\psi \otimes \text{id})(y^*y)\| (\psi \otimes \text{id})(x^*x).$$

1.5. tensor product of weights. Let A and B be two C^* -algebras. Consider a proper weight ψ on A and a proper weight φ on B . One may wish to consider the notion of the tensor product weight $\psi \otimes \varphi$ on $A \otimes B$. Here again, we collect below some notations and results, without proof. See section 4 of [18].

One can define the tensor product weight $\psi \otimes \varphi$ on $A \otimes B$, as follows:

$$(\psi \otimes \varphi)(x) = \sup\{(\theta \otimes \omega)(x) \mid \theta \in \mathcal{F}_{\psi}, \omega \in \mathcal{F}_{\varphi}\}, \quad \text{for } x \in (A \otimes B)^+.$$

This definition can be naturally extended to the multiplier algebra level.

By definition, it is easy to see the following Fubini-type result:

$$\varphi((\psi \otimes \text{id})(x)) = (\psi \otimes \varphi)(x),$$

for $x \in \mathfrak{M}_{\psi \otimes \text{id}}^+$. Obviously, the roles of ψ and φ can be reversed.

Fix GNS-triples $(\mathcal{H}_{\psi}, \pi_{\psi}, \Lambda_{\psi})$ for ψ and $(\mathcal{H}_{\varphi}, \pi_{\varphi}, \Lambda_{\varphi})$ for φ . In general, the space $\mathcal{H}_{\psi} \otimes \mathcal{H}_{\varphi}$ could be too small to serve as a GNS-space for $\psi \otimes \varphi$. So one introduces a special subset $\overline{\mathfrak{N}}(\psi, \varphi) (\subseteq \overline{\mathfrak{N}}_{\psi \otimes \varphi})$, on which the GNS map $\Lambda_{\psi} \otimes \Lambda_{\varphi} : \overline{\mathfrak{N}}(\psi, \varphi) \rightarrow \mathcal{H}_{\psi} \otimes \mathcal{H}_{\varphi}$ can be defined. To be a little more precise, we have $x \in \overline{\mathfrak{N}}(\psi, \varphi)$, if there exists a $v \in \mathcal{H}_{\psi} \otimes \mathcal{H}_{\varphi}$ such that $\|v\|^2 = (\psi \otimes \varphi)(x^*x)$ and $\langle v, \Lambda_{\psi}(a) \otimes \Lambda_{\varphi}(b) \rangle = (\psi \otimes \varphi)((a^* \otimes b^*)x)$ for $a \in \mathfrak{N}_{\psi}$, $b \in \mathfrak{N}_{\varphi}$.

If one of the weights ψ and φ is a KMS weight, then it turns out that $\overline{\mathfrak{N}}(\psi, \varphi) = \overline{\mathfrak{N}}_{\psi \otimes \varphi}$, and $(\mathcal{H}_{\psi} \otimes \mathcal{H}_{\varphi}, \pi_{\psi} \otimes \pi_{\varphi}, \Lambda_{\psi} \otimes \Lambda_{\varphi})$ is indeed a GNS-construction for $\psi \otimes \varphi$.

In particular, if both ψ and φ are KMS weights, then $\mathfrak{N}_{\psi} \otimes \mathfrak{N}_{\varphi} (\subseteq \mathfrak{N}_{\psi \otimes \varphi})$ is a core for the GNS-map $\Lambda_{\psi} \otimes \Lambda_{\varphi}$, and $\psi \otimes \varphi$ also becomes a KMS weight on $A \otimes B$. Its modular automorphism group is $\sigma^{\psi} \otimes \sigma^{\varphi}$.

2. SEPARABILITY IDEMPOTENTS

The quantum groupoids that we wish to consider in this paper are modeled after the (purely algebraic) notion of *weak multiplier Hopf algebras* [36], [37], which generalizes the *weak Hopf algebras* [1], [2]. In these approaches, the existence of a certain idempotent element E plays a central role. Among its properties is the fact that it is a *separability idempotent*. So for our purposes, we need to define and clarify such a notion in the setting

of C^* -algebras. This was done in the authors' previous paper [14]. In this section, we give a brief summary. Some of the results are provided without proof, and some only with a lighter version of the proof, with details referred to the previous paper.

We begin by considering a triple (E, B, ν) , where

- B is a C^* -algebra;
- ν is a faithful KMS weight on B , together with its associated norm-continuous automorphism group $(\sigma_t^\nu)_{t \in \mathbb{R}}$;
- $E \in M(B \otimes C)$ is a self-adjoint idempotent element for some C^* -algebra C such that there exists a $*$ -anti-isomorphism $R_{BC} : B \rightarrow C$.

In the above, it is clear that $C \cong B^{\text{op}}$ as C^* -algebras. Even so, there is no reason to assume that C and B^{op} are exactly same. Later, B and C will be the “source algebra” and the “target algebra”, respectively, with the weight ν being analogous to choosing a suitable measure on the unit space of a locally compact groupoid. Going forward, we will understand our triple (E, B, ν) in such a way that the C^* -algebra C and the $*$ -anti-isomorphism $R_{BC} : B \rightarrow C$ are implicitly fixed, with C possibly different from B^{op} . Moreover, for convenience, unless we really need to specify the algebras B and C , we will just write R to denote the map R_{BC} .

We give below the definition of a separability idempotent. See Definition 2.2 of [14].

Definition 2.1. We will say that (E, B, ν) is a *separability triple*, if the following conditions hold:

- (1) $(\nu \otimes \text{id})(E) = 1$
- (2) For $b \in \mathcal{D}(\sigma_{i/2}^\nu)$, we have: $(\nu \otimes \text{id})(E(b \otimes 1)) = R(\sigma_{i/2}^\nu(b))$.

If (E, B, ν) forms a separability triple, then we say E is a *separability idempotent*.

Remark. In the definition above, (1) means that for any $\omega \in C_+^*$, we require that $(\text{id} \otimes \omega)(E) \in \overline{\mathfrak{M}}_\nu (\subseteq M(B))$ and that $\nu((\text{id} \otimes \omega)(E)) = \omega(1)$. From this, it will follow that $(\text{id} \otimes \omega)(E) \in \overline{\mathfrak{M}}_\nu$ for any $\omega \in C^*$, and that $\nu((\text{id} \otimes \omega)(E)) = \omega(1), \forall \omega \in C^*$. Meanwhile, by Lemma 1.2, having $(\text{id} \otimes \omega)(E) \in \overline{\mathfrak{M}}_\nu, \omega \in C^*$, means $(\text{id} \otimes \omega)(E)b \in \mathfrak{M}_\nu$, for $b \in \mathcal{D}(\sigma_{i/2}^\nu)$. Condition (2) means that when we apply ν here, we require that $\nu((\text{id} \otimes \omega)(E)b) = \omega((R \circ \sigma_{i/2}^\nu)(b)), \forall \omega$. In other words, $(\nu \otimes \text{id})(E(b \otimes 1)) = R(\sigma_{i/2}^\nu(b))$.

Proposition 2.2. *Let E be a separability idempotent. Then E is uniquely determined by the data (B, ν, R) .*

Proof. See Proposition 2.3 of [14]. □

As we regard a separability triple (E, B, ν) to implicitly fix the C^* -algebra C and the anti-isomorphism R , this result means that E is more or less characterized by the pair (B, ν) . However, there is no reason to believe that E determined in this way would have to be a projection. In other words,

the existence of a separability idempotent E is really a condition on the pair (B, ν) . See [14] for a more careful discussion. In particular, in section 6 of that paper, it is shown that B has to be postliminal.

Remark. If the base C^* -algebra is commutative, $B = C_0(X)$, the existence of a separability idempotent implies that X is totally disconnected: See Proposition 6.10 in [14]. In fact, it turns out that X is discrete.

Here is a short proof: In the commutative case, we may take $B = C$ and $R = \text{Id}$. Then $E = C_b(X \times X)$. For $y \in X$, define $E_y \in C_b(X)$ by $E_y(x) = E(x, y)$. Meanwhile, fix $x \neq y$ and consider a positive function $b \in C_0(X)$ such that $b(x) = 1$ and $b(y) = 0$. Then by the second condition for the separability triple, we have $\nu(E_y b) = (R \circ b)(y) = b(y) = 0$. As ν is faithful, this means $E_y b \equiv 0$, and so $E(x, y) = E_y(x)b(x) = 0$. It follows that E has support on the diagonal. On the other hand, if $E(x, x) = 0$, then that would mean $E_x = 0$, giving the contradiction $0 = \nu(E_x b) = b(x) = 1$. We thus conclude that $E(x, y) = \delta_{x,y}$. This can only be continuous if X is discrete.

We wish to thank the referee and also Thomas Timmermann, who separately pointed this out to us.

Let us now collect some properties of a separability idempotent. We first begin with the existence of a densely-defined map $\gamma_B : B \rightarrow C$.

Proposition 2.3. (1) For $b \in \mathcal{D}(\sigma_{i/2}^\nu)$, write $\gamma_B(b) := R(\sigma_{i/2}^\nu(b)) \in C$.

This determines γ_B as a closed, densely-defined map from B to C . It is also injective, and has a dense range in C .

(2) $\gamma_B(b) = (\nu \otimes \text{id})(E(b \otimes 1))$, for $b \in \mathcal{D}(\gamma_B) = \mathcal{D}(\sigma_{i/2}^\nu)$.

(3) $\mathcal{D}(\gamma_B)$ is closed under multiplication, and the map γ_B is an anti-homomorphism.

Proof. (1). The injectivity is clear, since R is an anti-isomorphism and σ_t^ν is an automorphism for all t . Since $\mathcal{D}(\sigma_{i/2}^\nu)$ is dense in B , and since $\sigma_{i/2}^\nu$ is a closed map, we can see that γ_B is a closed, densely-defined map from B to C . Meanwhile, by the property of the Tomita algebra, we know that $\mathcal{T}_\nu \subseteq \mathcal{D}(\sigma_{i/2}^\nu)$ and that $\sigma_{i/2}^\nu(\mathcal{T}_\nu) = \mathcal{T}_\nu$, which is dense in B (see Section 1). Since R is an anti-isomorphism between B and C , we see that $\text{Ran}(\gamma_B) \supseteq (R \circ \sigma_{i/2}^\nu)(\mathcal{T}_\nu) = R(\mathcal{T}_\nu)$, which is dense in C .

(2). This is a re-formulation of Definition 2.1 (2).

(3). Suppose $b, b' \in \mathcal{D}(\sigma_{i/2}^\nu)$. They are analytic elements, and we have $bb' \in \mathcal{D}(\sigma_{i/2}^\nu)$. It is clear that γ_B is an anti-homomorphism, because $\sigma_{i/2}^\nu$ and R an anti-isomorphism: $\gamma_B(bb') = \gamma_B(b')\gamma_B(b)$. \square

Consider $\text{Ran}(\gamma_B)$. By Proposition 2.3 (1), it is dense in C . In view of the results above about γ_B , it is clear that we can consider $\gamma_B^{-1} : \text{Ran}(\gamma_B) \rightarrow B$, which would be a closed, densely-defined map from C to B , having dense range in B , injective, and anti-multiplicative. In fact, we will have: $\gamma_B^{-1} = \sigma_{-i/2}^\nu \circ R^{-1}$, and $\mathcal{D}(\gamma_B^{-1}) = \text{Ran}(\gamma_B)$, while $\text{Ran}(\gamma_B^{-1}) = \mathcal{D}(\gamma_B)$.

In the below is a property that relates the C^* -algebras B and C , at the level of their dense subspaces $\mathcal{D}(\gamma_B) \subseteq B$ and $\text{Ran}(\gamma_B) \subseteq C$.

Proposition 2.4. (1) For $b \in \mathcal{D}(\gamma_B)$, we have $E(b \otimes 1) = E(1 \otimes \gamma_B(b))$.
(2) For $c \in \text{Ran}(\gamma_B) = \mathcal{D}(\gamma_B^{-1})$, we have $E(1 \otimes c) = E(\gamma_B^{-1}(c) \otimes 1)$.

Proof. This is Proposition 2.6 of [14]. Note that for $b, b' \in \mathcal{D}(\gamma_B)$,

$$\begin{aligned} (\nu(\cdot b') \otimes \text{id})(E(b \otimes 1)) &= (\nu \otimes \text{id})(E(bb' \otimes 1)) = \gamma_B(bb') = \gamma_B(b')\gamma_B(b) \\ &= (\nu \otimes \text{id})(E(b' \otimes 1))\gamma_B(b) = (\nu \otimes \text{id})(E(b' \otimes \gamma_B(b))) \\ &= (\nu \otimes \text{id})(E(1 \otimes \gamma_B(b))(b' \otimes 1)) \\ &= (\nu(\cdot b') \otimes \text{id})(E(1 \otimes \gamma_B(b))). \end{aligned}$$

Since ν is faithful, and since the result is true for all $b' \in \mathcal{D}(\gamma_B)$, which is dense in B , we conclude that $E(b \otimes 1) = E(1 \otimes \gamma_B(b))$. Second result is an immediate consequence, where $c = \gamma_B(b)$, $\gamma_B^{-1}(c) = b$. \square

From (B, ν) , using the $*$ -anti-isomorphism $R : B \rightarrow C$, we can define a faithful weight μ on C , by $\mu := \nu \circ R^{-1}$. It is not difficult to show that μ is also a faithful KMS weight on the C^* -algebra C , together with the one-parameter group of automorphisms $(\sigma_t^\mu)_{t \in \mathbb{R}}$, given by $\sigma_t^\mu := R \circ \sigma_{-t}^\nu \circ R^{-1}$. The pair (C, μ) would behave a lot like (B, ν) , and we obtain from this a densely-defined map $\gamma_C : C \rightarrow B$.

Proposition 2.5. Let μ be as above. Then we have:

- (1) $(\text{id} \otimes \mu)(E) = 1$.
- (2) For $c \in \mathcal{D}(\sigma_{-i/2}^\mu)$, write: $\gamma_C(c) := (R^{-1} \circ \sigma_{-i/2}^\mu)(c)$. This defines a closed, densely-defined map from C to B . It is also an injective anti-homomorphism, having a dense range in B .
- (3) For $c \in \mathcal{D}(\gamma_C) = \mathcal{D}(\sigma_{-i/2}^\mu)$, we have: $(1 \otimes c)E = (\gamma_C(c) \otimes 1)E$. Also, for $b \in \text{Ran}(\gamma_C) = \mathcal{D}(\gamma_C^{-1})$, we have: $(b \otimes 1)E = (1 \otimes \gamma_C^{-1}(b))E$.
- (4) For $c \in \mathcal{D}(\gamma_C)$, we have: $(\text{id} \otimes \mu)((1 \otimes c)E) = \gamma_C(c)$.

Proof. These results are Propositions 3.1 and 2.7 in [14]. Let us give a slightly different proof:

(1). As before, the equation means that $(\theta \otimes \text{id})(E) \in \overline{\mathfrak{M}}_\mu$ for all $\theta \in B^*$ (extended to the multiplier algebra level), and that $\mu((\theta \otimes \text{id})(E)) = \theta(1)$. We can verify this for $\theta = \nu(\cdot b)$, for $b \in \mathcal{D}(\gamma_B)$. Such functionals are dense in B^* . Using the fact that $\mu = \nu \circ R^{-1}$ and that ν is σ^ν -invariant, we have:

$$\begin{aligned} \mu((\theta \otimes \text{id})(E)) &= \mu((\nu \otimes \text{id})(E(b \otimes 1))) = \mu(\gamma_B(b)) \\ &= \mu((R \circ \sigma_{i/2}^\nu)(b)) = \nu(b) = \theta(1). \end{aligned}$$

(2). From $(\nu \otimes \text{id})(E(b \otimes 1)) = R(\sigma_{i/2}^\nu(b))$, $b \in \mathcal{D}(\gamma_B) = \mathcal{D}(\sigma_{i/2}^\nu)$, take the adjoint. Since R is a $*$ -anti-isomorphism, we have:

$$(\nu \otimes \text{id})((b^* \otimes 1)E) = [R(\sigma_{i/2}^\nu(b))]^* = R([\sigma_{i/2}^\nu(b)]^*) = R(\sigma_{-i/2}^\nu(b^*)).$$

In other words, for $y \in \mathcal{D}(\gamma)^* = \mathcal{D}(\sigma_{-i/2}^\nu)$, which is also dense in B , the expression $(\nu \otimes \text{id})((y \otimes 1)E)$ is valid and $(\nu \otimes \text{id})((y \otimes 1)E) = (R \circ \sigma_{-i/2}^\nu)(y)$. Or, put another way, we have:

$$\nu(y(\text{id} \otimes \omega)(E)) = \omega((R \circ \sigma_{-i/2}^\nu)(y)), \quad \text{for } \omega \in C^*, y \in D(\sigma_{-i/2}^\nu).$$

So, by the same argument as in the case of γ_B , the map $y \mapsto (R \circ \sigma_{-i/2}^\nu)(y)$ is closed, densely-defined on B , injective, and has a dense range in C . Let us define γ_C to be its inverse map, namely, $\gamma_C : c \rightarrow (\sigma_{i/2}^\nu \circ R^{-1})(c) = (R^{-1} \circ \sigma_{-i/2}^\mu)(c)$. It is clear that γ_C is closed, densely-defined in C , injective, has a dense range in B , as well as anti-multiplicative.

(3). The proof is essentially the same as in that of Proposition 2.4. The anti-homomorphism property of γ_C is needed.

(4). Let $c \in D(\gamma_C)$. Then using $(\text{id} \otimes \mu)(E) = 1$, we have:

$$(\text{id} \otimes \mu)((1 \otimes c)E) = (\text{id} \otimes \mu)((\gamma'(c) \otimes 1)E) = \gamma'(c).$$

□

Here are some formulas relating the weights ν , μ , and the maps γ_B , γ_C .

Proposition 2.6. (1) *We have:*

$$\begin{aligned} \gamma_B &= R \circ \sigma_{i/2}^\nu = \sigma_{-i/2}^\mu \circ R & \gamma_B^{-1} &= \sigma_{-i/2}^\nu \circ R^{-1} = R^{-1} \circ \sigma_{i/2}^\mu \\ \gamma_C &= R^{-1} \circ \sigma_{-i/2}^\mu = \sigma_{i/2}^\nu \circ R^{-1} & \gamma_C^{-1} &= \sigma_{i/2}^\mu \circ R = R \circ \sigma_{-i/2}^\nu \end{aligned}$$

$$(2) \quad \mu = \nu \circ R^{-1} = \nu \circ \gamma_C = \nu \circ \gamma_B^{-1} \quad \text{and} \quad \nu = \mu \circ R = \mu \circ \gamma_B = \mu \circ \gamma_C^{-1}.$$

Proof. The definitions have already appeared, and we only need to use $\sigma_t^\mu = R \circ \sigma_{-t}^\nu \circ R^{-1}$ for the alternative descriptions. For (2), use one of the characterizations in (1) together with the invariance of ν and μ under σ^ν and σ^μ . □

The next result shows the relationship between the *-structure and the maps γ_B , γ_C . Observe that in general, the maps γ_B , γ_C need not be *-maps.

Proposition 2.7. (1) *For $b \in \mathcal{D}(\gamma_B)$, we have: $\gamma_C(\gamma_B(b)^*)^* = b$.*

(2) *For $c \in \mathcal{D}(\gamma_C)$, we have $\gamma_B(\gamma_C(c)^*)^* = c$.*

Proof. This is Proposition 2.8 in [14]. The proof is not difficult, using Propositions 2.4 and 2.5 above. □

Next proposition collects some results relating our separability idempotent E with the algebras B and C . We skip the proof: One uses the properties of the maps γ_B , γ_B^{-1} , γ_C , γ_C^{-1} obtained above, including the fact that they have dense domains and dense ranges. For details, refer to [14].

Proposition 2.8. (1) *For all $b \in B$ and all $c \in C$, we have:*

$$E(1 \otimes c) \in B \otimes C, \quad (1 \otimes c)E \in B \otimes C, \quad (b \otimes 1)E \in B \otimes C, \quad E(b \otimes 1) \in B \otimes C.$$

(2) As a consequence of (1), we have:

$$(\text{id} \otimes \omega)(E) \in B, \quad \forall \omega \in C^*, \quad \text{and} \quad (\theta \otimes \text{id})(E) \in C, \quad \forall \theta \in B^*.$$

(3) The separability idempotent E is “full”, in the sense that

$$\begin{aligned} & \{(\theta \otimes \text{id})(E(b \otimes 1)) : b \in B, \theta \in B^*\} \text{ is dense in } C, \\ & \{(\theta \otimes \text{id})((b \otimes 1)E) : b \in B, \theta \in B^*\} \text{ is dense in } C, \\ & \{(\text{id} \otimes \omega)((1 \otimes c)E) : c \in C, \omega \in C^*\} \text{ is dense in } B, \\ & \{(\text{id} \otimes \omega)(E(1 \otimes c)) : c \in C, \omega \in C^*\} \text{ is dense in } B. \end{aligned}$$

- (4) If $(1 \otimes c)E = 0$, $c \in C$, then necessarily $c = 0$.
 If $E(1 \otimes c) = 0$, $c \in C$, then necessarily $c = 0$.
 If $E(b \otimes 1) = 0$, $b \in B$, then necessarily $b = 0$.
 If $(b \otimes 1)E = 0$, $b \in B$, then necessarily $b = 0$.

Proof. See Proposition 3.3, Proposition 3.4, Proposition 3.5 of [14]. \square

Remark. The “fullness” of $E \in M(B \otimes C)$, as given in (3) of Proposition 2.8, means that the left leg of E is B and the right leg of E is C . In the purely algebraic setting, the fullness of E was part of the definition of E being a separability idempotent [35]. Here, by beginning instead with the weights μ and ν , we obtain the fullness result as a proposition.

In the next proposition, we see that the analytic generators σ_{-i}^μ and σ_{-i}^ν can be characterized in terms of the maps γ_B and γ_C .

Proposition 2.9. (1) $\sigma_{-i}^\mu(c) = (\gamma_B \circ \gamma_C)(c)$, for $c \in \mathcal{D}(\gamma_B \circ \gamma_C)$.
 (2) $\sigma_{-i}^\nu(b) = (\gamma_B^{-1} \circ \gamma_C^{-1})(b)$, for $b \in \mathcal{D}(\gamma_B^{-1} \circ \gamma_C^{-1})$.

Proof. For (1), use $\gamma_B = \sigma_{-i/2}^\mu \circ R$ and $\gamma_C = R^{-1} \circ \sigma_{-i/2}^\mu$, observed in Proposition 2.6. For (2), use $\gamma_B^{-1} = \sigma_{-i/2}^\nu \circ R^{-1}$ and $\gamma_C^{-1} = R \circ \sigma_{-i/2}^\nu$. \square

The significance of Proposition 2.9 is that the maps $\gamma_B \circ \gamma_C$ and $\gamma_B^{-1} \circ \gamma_C^{-1}$ provide “modular automorphisms” for the weights μ and ν , respectively. In particular, recall Lemma 1.2. According to Proposition 2.9, for $c \in \mathcal{D}(\gamma_B \circ \gamma_C) = \mathcal{D}(\sigma_{-i}^\mu)$ and for $x \in \mathfrak{M}_\mu$, we have: $cx, x\sigma_{-i}^\mu(c) \in \mathfrak{M}_\mu$, and $\mu(cx) = \mu(x\sigma_{-i}^\mu(c)) = \mu(x(\gamma \circ \gamma')(c))$. Similar for the weight ν .

We will conclude this section by showing a few different characterizations of the idempotent E .

Proposition 2.10. For any $t \in \mathbb{R}$, we have:

$$(\sigma_t^\nu \otimes \sigma_{-t}^\mu)(E) = E.$$

Proof. This is Proposition 3.7 of [14]. By a straightforward calculation using the properties of ν and γ_B , we can show that for any $b \in \mathcal{D}(\sigma_{i/2}^\nu)$,

$$\begin{aligned} (\nu \otimes \text{id})((\sigma_t^\nu \otimes \sigma_{-t}^\mu)(E)(b \otimes 1)) &= \sigma_{-t}^\mu(\gamma_B(\sigma_{-t}^\nu(b))) \\ &= (R \circ \sigma_{i/2}^\nu)(b) = (\nu \otimes \text{id})(E(b \otimes 1)). \end{aligned}$$

By the uniqueness of E (Proposition 2.2), the result follows. \square

Write ς to denote the flip map on $M(B \otimes C)$. Then $\varsigma E \in M(C \otimes B)$. In the below, we wish to show that $(\gamma_C \otimes \gamma_B)(\varsigma E) = E$. However, as of now, we do not know if the expression $(\gamma_C \otimes \gamma_B)(\varsigma E)$ even makes sense as a bounded element. To make sense of this, and anticipating other future applications, we consider the following lemma:

Lemma 2.11. *Suppose $b \in \mathcal{T}_\nu$ and $c \in \mathcal{T}_\mu$. We have:*

$$\begin{aligned} (\gamma_C \otimes \gamma_B)((\gamma_C^{-1}(b) \otimes \gamma_B^{-1}(c))(\varsigma E)) &= E(b \otimes c), \\ (\gamma_C \otimes \gamma_B)((\varsigma E)(\gamma_C^{-1}(b) \otimes \gamma_B^{-1}(c))) &= (b \otimes c)E, \\ (\gamma_C \otimes \gamma_B)((1 \otimes \gamma_B^{-1}(c))(\varsigma E)(\gamma_C^{-1}(b) \otimes 1)) &= (b \otimes 1)E(1 \otimes c), \\ (\gamma_C \otimes \gamma_B)((\gamma_C^{-1}(b) \otimes 1)(\varsigma E)(1 \otimes \gamma_B^{-1}(c))) &= (1 \otimes c)E(b \otimes 1). \end{aligned}$$

Proof. This is Lemma 3.8 of [14]. The proof uses the properties of γ_B and γ_C , the characterization of the analytic generators σ_{-i}^μ and σ_{-i}^ν (Proposition 2.9), and the faithfulness of the weights μ and ν . \square

We can now make sense of the expression $(\gamma_C \otimes \gamma_B)(\varsigma E)$ as a left and right multiplier map, on a dense subset of $B \otimes C$. By Lemma 2.11, we have, for $b \in \mathcal{T}_\nu$ (dense in B) and $c \in \mathcal{T}_\mu$ (dense in C),

$$\begin{aligned} [(\gamma_C \otimes \gamma_B)(\varsigma E)](b \otimes c) &:= (\gamma_C \otimes \gamma_B)((\gamma_C^{-1}(b) \otimes \gamma_B^{-1}(c))(\varsigma E)) = E(b \otimes c), \\ (b \otimes c)[(\gamma_C \otimes \gamma_B)(\varsigma E)] &:= (\gamma_C \otimes \gamma_B)((\varsigma E)(\gamma_C^{-1}(b) \otimes \gamma_B^{-1}(c))) = (b \otimes c)E. \end{aligned}$$

The following proposition is an immediate consequence:

Proposition 2.12. (1) $(\gamma_C \otimes \gamma_B)(\varsigma E) = E$ and $(\gamma_B \otimes \gamma_C)(E) = \varsigma E$.
 (2) $(R^{-1} \otimes R)(\varsigma E) = E$ and $(R \otimes R^{-1})(E) = \varsigma E$.

Proof. This is Proposition 3.9 of [14].

(1). We observed above that $(\gamma_C \otimes \gamma_B)(\varsigma E)$ coincides with E , as a left and right multiplier map on a dense subset of $B \otimes C$. Since E is bounded, this implies that $(\gamma_C \otimes \gamma_B)(\varsigma E)$ can be canonically extended to a left and right multiplier map on all of $B \otimes C$, and that $(\gamma_C \otimes \gamma_B)(\varsigma E) = E \in M(B \otimes C)$. By taking the flip map, we also have: $(\gamma_B \otimes \gamma_C)(E) = \varsigma E$.

(2). Apply $R^{-1} \otimes R$ to both sides of $\varsigma E = (\gamma_B \otimes \gamma_C)(E)$. Since we know $\gamma_B = R \circ \sigma_{i/2}^\nu$ and $\gamma_C = R^{-1} \circ \sigma_{-i/2}^\mu$ (Proposition 2.6), we have:

$$(R^{-1} \otimes R)(\varsigma E) = (R^{-1} \otimes R)[(\gamma_B \otimes \gamma_C)(E)] = (\sigma_{i/2}^\nu \otimes \sigma_{-i/2}^\mu)(E) = E.$$

By taking the flip map, we also have: $(R \otimes R^{-1})(E) = \varsigma E$. \square

3. COMULTIPLICATION AND THE CANONICAL IDEMPOTENT E

3.1. Comultiplication. Our goal is to define a suitable definition of a locally compact quantum groupoid, and of course, the first task is to clarify the notion of the comultiplication. However, unlike in the quantum group theory, we cannot assume the non-degeneracy of the comultiplication map.

As observed in Introduction, this implies that the usual coassociativity condition of $(\Delta \otimes \text{id})\Delta = (\text{id} \otimes \Delta)\Delta$ cannot be made sense (yet). This explains why we are only requiring the weak coassociativity condition in the definition below. The discussions in this section are same in spirit as in [36] (in particular, section 1 and Appendix there), but adjusted accordingly to fit our C^* -algebraic setting.

Definition 3.1. Let A be a C^* -algebra. By a *comultiplication* on A , we mean a $*$ -homomorphism $\Delta : A \rightarrow M(A \otimes A)$ such that

- $(\Delta a)(1 \otimes b) \in A \otimes A$ and $(a \otimes 1)(\Delta b) \in A \otimes A$, for all $a, b \in A$;
- The following “weak coassociativity condition” holds:

$$(a \otimes 1 \otimes 1)((\Delta \otimes \text{id})((\Delta b)(1 \otimes c))) = ((\text{id} \otimes \Delta)((a \otimes 1)(\Delta b)))(1 \otimes 1 \otimes c),$$

for all $a, b, c \in A$;

- The following subspaces are norm-dense in A :
 $\text{span}\{(\text{id} \otimes \omega)((\Delta x)(1 \otimes y)) : \omega \in A^*, x, y \in A\},$
 $\text{span}\{(\omega \otimes \text{id})((x \otimes 1)(\Delta y)) : \omega \in A^*, x, y \in A\}.$

Remark. The first condition originally appeared for multiplier Hopf algebras [33], and is satisfied in the commutative case of $A = C_0(G)$. It allows both the second and third conditions to make sense. As noted above, we require only the weak coassociativity condition, because we do not have non-degeneracy assumption on Δ for it to be extended to $M(A)$. The third condition is among the defining conditions for a locally compact quantum group [19]. Often, motivated by the terminology in the purely algebraic setting, we refer to this condition as Δ being “full”.

Lemma 3.2. *The following results are also true:*

- $(1 \otimes b)(\Delta a) \in A \otimes A$ and $(\Delta b)(a \otimes 1) \in A \otimes A$, for all $a, b \in A$
- *The following subspaces are norm-dense in A :*
 $\text{span}\{(\text{id} \otimes \omega)((1 \otimes y)(\Delta x)) : \omega \in A^*, x, y \in A\},$
 $\text{span}\{(\omega \otimes \text{id})((\Delta y)(x \otimes 1)) : \omega \in A^*, x, y \in A\}.$

Proof. Just use the $*$ -operation on A , and note that Δ is a $*$ -homomorphism. \square

In the quantum group case, as a consequence of the density condition as in Definition 3.1 (Δ being “full”), it follows that $\Delta(A)(A \otimes A)$ and $(A \otimes A)\Delta(A)$ are dense in $A \otimes A$. That is when Δ is non-generate, which is no longer true in our more general case. We will actually require that $\Delta(A)(A \otimes A)$ and $(A \otimes A)\Delta(A)$ are dense in $E(A \otimes A)$ and $(A \otimes A)E$, respectively, with a suitable projection $E \in M(A \otimes A)$, the “canonical idempotent”.

It will later turn out that this E carries information about the “source” and “target” algebras, as well as the comultiplication map. Before giving the precise definition of the canonical idempotent, let us gather some useful results.

Proposition 3.3. *Let (A, Δ) be given as in Definition 3.1. Suppose there exists a self-adjoint idempotent element $E \in M(A \otimes A)$ such that*

$$\overline{\Delta(A)(A \otimes A)}^{\|\cdot\|} = E(A \otimes A), \quad \text{and} \quad \overline{(A \otimes A)\Delta(A)}^{\|\cdot\|} = (A \otimes A)E.$$

Then the following results hold:

(1) *For any $a \in A$, we have*

$$E(\Delta a) = \Delta a = (\Delta a)E.$$

(2) *Let $x, y \in M(A \otimes A)$ be such that $x(\Delta a) = y(\Delta a)$, for all $a \in A$. Then $xE = yE$. Similarly, if $x, y \in M(A \otimes A)$ is such that $(\Delta a)x = (\Delta a)y$, $\forall a \in A$, then $Ex = Ey$.*

(3) *E is uniquely determined.*

(4) *There exists a unique $*$ -homomorphism $\tilde{\Delta} : M(A) \rightarrow M(A \otimes A)$ such that $\tilde{\Delta}|_A = \Delta$ and $\tilde{\Delta}(1_{M(A)}) = E$.*

Proof. (1). For $b, c \in A$, we will have $(\Delta a)(b \otimes c) \in \Delta(A)(A \otimes A) \subseteq E(A \otimes A)$, while $E^2 = E$. So we have: $E(\Delta a)(b \otimes c) = (\Delta a)(b \otimes c)$, true for arbitrary $b, c \in A$. This shows that $E(\Delta a) = \Delta a$, as elements in $M(A \otimes A)$. Similarly, we can show that $(\Delta a)E = \Delta a$.

(2). Suppose $x(\Delta a) = y(\Delta a)$, $\forall a \in A$. Since $E(\Delta a) = \Delta a$, we then have $xE(\Delta a) = yE(\Delta a)$. Thus we have:

$$xE(\Delta a)(a' \otimes a'') = yE(\Delta a)(a' \otimes a''), \quad \forall a, a', a'' \in A.$$

But we know that $\Delta(A)(A \otimes A)$ is dense in $E(A \otimes A)$, while $E^2 = E$. It is thus easy to see that $xE = yE$ in $M(A \otimes A)$. The other statement is proved in a similar way, now using the density of $(A \otimes A)\Delta(A)$ in $(A \otimes A)E$.

(3). Suppose E' is another such projection. From

$$E(A \otimes A) = \overline{\Delta(A)(A \otimes A)}^{\|\cdot\|} = E'(A \otimes A),$$

since $E'E' = E'$, we will have: $(E'E - E)(A \otimes A) = 0$, which means $E'E = E$. Similarly, we can show $EE' = E$. This means that as idempotents, we have: $E \leq E'$. Reversing the roles, we will also have: $E' \leq E$, so $E' = E$.

(4). Let $m \in M(A)$. Note first that if such a map $\tilde{\Delta}$ were to exist, we will have, for any $x \in A \otimes A$,

$$\tilde{\Delta}(m)x = \tilde{\Delta}(m \cdot 1)x = \tilde{\Delta}(m)\tilde{\Delta}(1)x = \tilde{\Delta}(m)Ex.$$

By assumption, we know that any Ex , $x \in A \otimes A$, can be approximated by elements of the form $\sum_{i=1}^N \Delta(a_i)z_i$, where $a_i \in A$, $z_i \in A \otimes A$. For such elements, at least formally, it makes sense to consider:

$$L_{\tilde{\Delta}(m)} : \sum_{i=1}^N \Delta(a_i)z_i \mapsto \tilde{\Delta}(m) \sum_{i=1}^N \Delta(a_i)z_i = \sum_{i=1}^N \Delta(ma_i)z_i \in A \otimes A. \quad (3.1)$$

Similarly, for any $y \in A \otimes A$, we will have $y\tilde{\Delta}(m) = yE\tilde{\Delta}(m)$, and any yE is approximated by the elements of the form $\sum_{j=1}^{N'} w_j \Delta(b_j)$, where $b_j \in A$,

$w_j \in A \otimes A$. For these elements, we may consider:

$$R_{\tilde{\Delta}(m)} : \sum_j w_j \Delta(b_j) \mapsto \sum_j w_j \Delta(b_j) \tilde{\Delta}(m) = \sum_j w_j \Delta(b_j m) \in A \otimes A. \quad (3.2)$$

We plan to show that $L_{\tilde{\Delta}(m)}$ and $R_{\tilde{\Delta}(m)}$ are valid linear maps that can be naturally extended to maps on $A \otimes A$, and that $(L_{\tilde{\Delta}(m)}, R_{\tilde{\Delta}(m)})$ forms a double centralizer. This would show that $\tilde{\Delta}(m) \in M(A \otimes A)$. Moreover, by construction, the uniqueness of the extension map $\tilde{\Delta} : m \mapsto \tilde{\Delta}(m)$ would immediately follow.

To see if Equation (3.1) indeed determines a well-defined linear map, suppose $\sum_{i=1}^N \Delta(a_i)z_i = 0$. Then for any $u \in A \otimes A$ and $b \in A$, we have:

$$\begin{aligned} u\Delta(b) L_{\tilde{\Delta}(m)} \left(\sum_{i=1}^N \Delta(a_i)z_i \right) &= u\Delta(b) \sum_{i=1}^N \Delta(ma_i)z_i = u \sum_{i=1}^N \Delta(bma_i)z_i \\ &= u\Delta(bm) \sum_{i=1}^N \Delta(a_i)z_i = 0. \end{aligned}$$

Since $\overline{(A \otimes A)\Delta(A)}^{\|\cdot\|} = (A \otimes A)E$ and since $E\Delta(a) = \Delta(a)$ for any $a \in A$, this implies that $yL_{\tilde{\Delta}(m)} \left(\sum_{i=1}^N \Delta(a_i)z_i \right) = yE L_{\tilde{\Delta}(m)} \left(\sum_{i=1}^N \Delta(a_i)z_i \right) = 0$, for any $y \in A \otimes A$. So $L_{\tilde{\Delta}(m)} \left(\sum_{i=1}^N \Delta(a_i)z_i \right) = 0$. In this way, we can see that $L_{\tilde{\Delta}(m)} : \Delta(A)(A \otimes A) \rightarrow A \otimes A$ is a well-defined linear map.

This map $L_{\tilde{\Delta}(m)}$ is clearly continuous, and extends to a bounded map $L_{\tilde{\Delta}(m)} : E(A \otimes A) \rightarrow A \otimes A$. This in turn defines a map $L_{\tilde{\Delta}(m)} : A \otimes A \rightarrow A \otimes A$, by $L_{\tilde{\Delta}(m)}(x) := L_{\tilde{\Delta}(m)}(Ex)$.

In a similar way, Equation (3.2) defines a bounded map $R_{\tilde{\Delta}(m)} : A \otimes A \rightarrow A \otimes A$ such that $R_{\tilde{\Delta}(m)}(y) := R_{\tilde{\Delta}(m)}(yE)$.

Also from above, observe that: $[\sum_{j=1}^{N'} w_j \Delta(b_j)] L_{\tilde{\Delta}(m)} \left(\sum_{i=1}^N \Delta(a_i)z_i \right) = \sum_{j=1}^{N'} \sum_{i=1}^N w_j \Delta(b_j m a_i) z_i = R_{\tilde{\Delta}(m)} \left(\sum_{j=1}^{N'} w_j \Delta(b_j) \right) [\sum_{i=1}^N \Delta(a_i)z_i]$. In this way, we see that for any $x, y \in A \otimes A$, we have:

$$y\tilde{L}_{\tilde{\Delta}(m)}(x) = yEL_{\tilde{\Delta}(m)}(Ex) = R_{\tilde{\Delta}(m)}(yE)Ex = \tilde{R}_{\tilde{\Delta}(m)}(y)x. \quad (3.3)$$

This means that the pair $(L_{\tilde{\Delta}(m)}, R_{\tilde{\Delta}(m)})$ is a double centralizer. By the standard theory, we can canonically associate to it an element of $M(A \otimes A)$, which is none other than $\tilde{\Delta}(m)$.

By construction, we see easily that $\tilde{\Delta}(m)\tilde{\Delta}(m') = \tilde{\Delta}(mm')$, and that $\tilde{\Delta}(m^*)x = (x^*\tilde{\Delta}(m))^*$ for all $x \in A \otimes A$. This verifies that $\tilde{\Delta}$ is a *-homomorphism. Finally, when $m = 1$, note that $\tilde{\Delta}(1)x = \tilde{\Delta}(1)(Ex) = Ex$, for all $x \in A \otimes A$. It follows that $\tilde{\Delta}(1) = E$. \square

For convenience, we will just write Δ for the unique extended map $\tilde{\Delta}$ obtained above. Meanwhile, we can also extend the maps $\Delta \otimes \text{id}$ and $\text{id} \otimes \Delta$, by using the same method (see below).

Corollary. *Let E be as above. Then the maps $\Delta \otimes \text{id}$ and $\text{id} \otimes \Delta$ have unique extensions to $*$ -homomorphisms from $M(A \otimes A)$ to $M(A \otimes A \otimes A)$, which will be still denoted by $\Delta \otimes \text{id}$ and $\text{id} \otimes \Delta$, such that*

$$(\Delta \otimes \text{id})(1 \otimes 1) = E \otimes 1, \quad \text{and} \quad (\text{id} \otimes \Delta)(1 \otimes 1) = 1 \otimes E,$$

respectively.

Proof. Use the same method of proof given in (4) of Proposition 3.3 above: We just replace A by $A \otimes A$ and $A \otimes A$ by $A \otimes A \otimes A$, with the projection $E \otimes 1$ taking the role of E . Namely,

$$(E \otimes 1)(\Delta \otimes \text{id})(x) = (\Delta \otimes \text{id})(x) = (\Delta \otimes \text{id})(x)(E \otimes 1), \quad \forall x \in A \otimes A.$$

In this way, we can (uniquely) define the extended $*$ -homomorphism $\tilde{\Delta} \otimes \text{id}$, which we may just write as $\Delta \otimes \text{id}$.

Similarly, with $1 \otimes E$ taking the role of E , we can extend $\text{id} \otimes \Delta$ to the level of $M(A \otimes A)$. \square

Because of the extension, it is now possible to make sense of the maps $(\Delta \otimes \text{id})\Delta$ and $(\text{id} \otimes \Delta)\Delta$. In Theorem 3.5 below, we show that the weak coassociativity condition given earlier can now be replaced by the more familiar form of the coassociativity. The method is essentially not much different from the one given in Appendix of [36]. First, we begin with a lemma:

Lemma 3.4. *For clarity, write $\tilde{\Delta} \otimes \text{id}$ and $\text{id} \otimes \tilde{\Delta}$ for the extensions of $\Delta \otimes \text{id}$ and $\text{id} \otimes \Delta$ to $M(A \otimes A)$. Then for any $a, b \in A$, we have:*

$$\begin{aligned} ((\tilde{\Delta} \otimes \text{id})(\Delta a))(1 \otimes 1 \otimes b) &= (\Delta \otimes \text{id})((\Delta a)(1 \otimes b)), \\ (a \otimes 1 \otimes 1)((\text{id} \otimes \tilde{\Delta})(\Delta b)) &= (\text{id} \otimes \Delta)((a \otimes 1)(\Delta b)). \end{aligned}$$

Proof. Consider $\sum_i u_i(\Delta \otimes \text{id})(v_i)$, where $u_i \in A \otimes A \otimes A$ and $v_i \in A \otimes A$. Such elements form a dense subspace in $(A \otimes A \otimes A)(E \otimes 1)$. Observe:

$$\begin{aligned} &\sum_i u_i(\Delta \otimes \text{id})(v_i)((\tilde{\Delta} \otimes \text{id})(\Delta a))(1 \otimes 1 \otimes b) \\ &= \sum_i u_i(\Delta \otimes \text{id})(v_i(\Delta a))(1 \otimes 1 \otimes b) = \sum_i u_i(\Delta \otimes \text{id})(v_i(\Delta a)(1 \otimes b)) \\ &= \sum_i u_i(\Delta \otimes \text{id})(v_i)(\Delta \otimes \text{id})((\Delta a)(1 \otimes b)). \end{aligned}$$

Here we used the fact that $(\Delta a)(1 \otimes b) \in A \otimes A$. This means that for any $z \in A \otimes A \otimes A$, we have:

$$\begin{aligned} z((\tilde{\Delta} \otimes \text{id})(\Delta a))(1 \otimes 1 \otimes b) &= z(E \otimes 1)((\tilde{\Delta} \otimes \text{id})(\Delta a))(1 \otimes 1 \otimes b) \\ &= z(E \otimes 1)(\Delta \otimes \text{id})((\Delta a)(1 \otimes b)) \\ &= z(\Delta \otimes \text{id})((\Delta a)(1 \otimes b)), \end{aligned}$$

obtaining the first result. The proof for the second result is similar. \square

We are now able to prove the coassociativity of Δ :

Theorem 3.5. *Let A be a C^* -algebra and let Δ be a comultiplication on it, satisfying the conditions of Definition 3.1. Suppose also the existence of an idempotent element $E \in M(A \otimes A)$, as in Proposition 3.3. Then the following ‘‘coassociativity condition’’ holds:*

$$(\Delta \otimes \text{id})(\Delta a) = (\text{id} \otimes \Delta)(\Delta a), \quad \text{for any } a \in A.$$

Proof. Let $a, a', a'' \in A$. By the result of Lemma 3.4, we have:

$$(a' \otimes 1 \otimes 1)((\Delta \otimes \text{id})(\Delta a))(1 \otimes 1 \otimes a'') = (a' \otimes 1 \otimes 1)(\Delta \otimes \text{id})((\Delta a)(1 \otimes a'')),$$

and also

$$(a' \otimes 1 \otimes 1)((\text{id} \otimes \Delta)(\Delta a))(1 \otimes 1 \otimes a'') = (\text{id} \otimes \Delta)((a' \otimes 1)(\Delta a))(1 \otimes 1 \otimes a'').$$

But the expressions in the right hand sides are equal, by the weak coassociativity (see Definition 3.1). Therefore, we have:

$$(a' \otimes 1 \otimes 1)((\Delta \otimes \text{id})(\Delta a))(1 \otimes 1 \otimes a'') = (a' \otimes 1 \otimes 1)((\text{id} \otimes \Delta)(\Delta a))(1 \otimes 1 \otimes a''),$$

true for arbitrary $a', a'' \in A$. This means that

$$(\Delta \otimes \text{id})(\Delta a) = (\text{id} \otimes \Delta)(\Delta a), \quad \forall a \in A.$$

\square

We expect the coassociativity of Δ to extend to the multiplier algebra level. See results below:

Proposition 3.6. *Let (A, Δ) and E be as above. Then we have:*

- (1) $(\Delta \otimes \text{id})(E) = (\text{id} \otimes \Delta)(E)$;
- (2) $(\Delta \otimes \text{id})(\Delta m) = (\text{id} \otimes \Delta)(\Delta m)$, for any $m \in M(A)$.

Proof. (1). By Proposition 3.3, we have:

$$\begin{aligned} [(\Delta \otimes \text{id})(E)](A \otimes A \otimes A) &= [(\Delta \otimes \text{id})(E)](E \otimes 1)(A \otimes A \otimes A) \\ &= [(\Delta \otimes \text{id})(E)](\Delta(A) \otimes A)(A \otimes A \otimes A) \\ &= (\Delta \otimes \text{id})(E(A \otimes A))(A \otimes A \otimes A) \\ &= ((\Delta \otimes \text{id})\Delta(A))(A \otimes A \otimes A). \end{aligned}$$

Since E and $(\Delta \otimes \text{id})(E)$ are projections, all the spaces above are norm-closed. In a similar way, we can also show that

$$[(\text{id} \otimes \Delta)(E)](A \otimes A \otimes A) = \dots = ((\text{id} \otimes \Delta)\Delta(A))(A \otimes A \otimes A).$$

By Theorem 3.5, the right sides are same. So $[(\Delta \otimes \text{id})(E)](A \otimes A \otimes A) = [(\text{id} \otimes \Delta)(E)](A \otimes A \otimes A)$. Similarly, we have: $(A \otimes A \otimes A)[(\Delta \otimes \text{id})(E)] = (A \otimes A \otimes A)[(\text{id} \otimes \Delta)(E)]$. Therefore, $(\Delta \otimes \text{id})(E) = (\text{id} \otimes \Delta)(E)$.

(2). Write $G = (\Delta \otimes \text{id})(E) = (\text{id} \otimes \Delta)(E)$, which is a projection. By modifying the proof of Proposition 3.3 (4) a little, with G taking the role of E , we can regard the map $M(A) \ni m \mapsto (\Delta \otimes \text{id})(\Delta m)$ as a (unique) extension of the map $A \ni a \mapsto (\Delta \otimes \text{id})(\Delta a)$. Similarly, we can regard the map $M(A) \ni m \mapsto (\text{id} \otimes \Delta)(\Delta m)$ as a (unique) extension of the map $A \ni a \mapsto (\text{id} \otimes \Delta)(\Delta a)$, using the same projection G . By Theorem 3.5, the maps at the level of A coincide. Therefore, the uniqueness means that we have $(\Delta \otimes \text{id})(\Delta m) = (\text{id} \otimes \Delta)(\Delta m)$, $\forall m \in M(A)$. \square

3.2. The canonical idempotent. In Definition 3.7 below, we formally give the definition of the canonical idempotent E . Condition (1) says that E satisfies the density conditions given in Proposition 3.3; Condition (2) means E is a ‘‘separability idempotent’’, as discussed in Section 2; Condition (3) is motivated by the theory of weak (multiplier) Hopf algebras [1], [25], [36], [37]. See remark following the definition.

Definition 3.7. Let A be a C^* -algebra and let Δ be a comultiplication on it, as in Definition 3.1. By a *canonical idempotent* for (A, Δ) , we mean a self-adjoint idempotent element $E \in M(A \otimes A)$ such that

- (1) $\Delta(A)(A \otimes A)$ is norm-dense in $E(A \otimes A)$ and $(A \otimes A)\Delta(A)$ is norm-dense in $(A \otimes A)E$;
- (2) There exist two non-degenerate C^* -subalgebras B, C of $M(A)$, a $*$ -anti-isomorphism $R = R_{BC} : B \rightarrow C$, a KMS weight ν on B , such that $E \in M(B \otimes C)$ and that (E, B, ν) forms a separability triple, in the sense of Definition 2.1;
- (3) $E \otimes 1$ and $1 \otimes E$ commute, and we also have:

$$(\text{id} \otimes \Delta)(E) = (E \otimes 1)(1 \otimes E) = (1 \otimes E)(E \otimes 1) = (\Delta \otimes \text{id})(E).$$

Remark. We already discussed about the density condition (1), the separability idempotent condition (2), as well as their consequences. See Sections §3.2 and §2, respectively. By (1) and (2), if such E exists, it is unique. Since B, C are non-degenerate C^* -subalgebras of $M(A)$, it follows that $M(B), M(C)$ are also subalgebras of $M(A)$, and $M(B \otimes C)$ is a subalgebra of $M(A \otimes A)$. So $E \in M(B \otimes C) \subseteq M(A \otimes A)$. In Proposition 2.8, we noted that the source algebra B is a left leg of E and the target algebra C is a right leg of E .

Since condition (1) enables us to extend Δ to the multiplier algebra level and the coassociativity holds, we can make sense of the expressions in condition (3). Condition (3) is referred to as the ‘‘weak comultiplicativity of the unit’’ in [1]. It is always true in the groupoid case, and there are no known cases where this condition is violated. The condition seems natural in that sense, and parts of it can be proved (like in Proposition 3.6), but apparently, does not seem to follow from other axioms.

Here are some more consequences of the existence of the canonical idempotent E .

Proposition 3.8. *The C^* -algebras B and C commute.*

Proof. From (3) of Definition 3.7, we know $(E \otimes 1)(1 \otimes E) = (1 \otimes E)(E \otimes 1)$. Let $c \in C$ and $\omega \in C^*$. Then

$$(\text{id} \otimes \text{id} \otimes \omega)((E \otimes 1)(1 \otimes E)(1 \otimes 1 \otimes c)) = (\text{id} \otimes \text{id} \otimes \omega)((1 \otimes E)(E \otimes 1)(1 \otimes 1 \otimes c)),$$

which becomes:

$$E(1 \otimes (\text{id} \otimes \omega)(E(1 \otimes c))) = (1 \otimes (\text{id} \otimes \omega)(E(1 \otimes c)))E.$$

But, due to E being full (see Proposition 2.8), we know that the elements of the form $(\text{id} \otimes \omega)(E(1 \otimes c))$ are dense in B . So we have: $E(1 \otimes b) = (1 \otimes b)E$, for all $b \in B$.

Now suppose $b' \in B$ and $\theta \in B^*$ be arbitrary. Since $E(1 \otimes b) = (1 \otimes b)E$, we have:

$$(\theta \otimes \text{id})((b' \otimes 1)E(1 \otimes b)) = (\theta \otimes \text{id})((b' \otimes b)E),$$

which becomes: $(\theta \otimes \text{id})((b' \otimes 1)E)b = b(\theta \otimes \text{id})((b' \otimes 1)E)$. But we know that the elements of the form $(\theta \otimes \text{id})((b' \otimes 1)E)$ are dense in C , again by Proposition 2.8. It follows that $cb = bc$, true for $\forall b \in B, \forall c \in C$. \square

Corollary. *$M(B)$ and $M(C)$ also commute.*

Proof. Straightforward from the previous result. \square

In Proposition 3.9 and its Corollary below, we show how the comultiplication behaves on the source and the target algebras. In fact, it will be shown later (Part II: see [15]) that these properties essentially characterize the algebras $M(B)$ and $M(C)$.

Proposition 3.9. *We have:*

- $\Delta b = E(1 \otimes b) = (1 \otimes b)E$, for all $b \in B$;
- $\Delta c = (c \otimes 1)E = E(c \otimes 1)$, for all $c \in C$.

Proof. Let $a \in A$ be arbitrary, and let $c \in C$. Then, from $(\Delta \otimes \text{id})(E) = (E \otimes 1)(1 \otimes E)$, we will have:

$$\begin{aligned} (\Delta \otimes \text{id})((a \otimes c)E) &= (\Delta a \otimes c)(\Delta \otimes \text{id})(E) \\ &= (\Delta a \otimes c)(E \otimes 1)(1 \otimes E) = (\Delta a \otimes c)(1 \otimes E). \end{aligned}$$

Let $\omega \in C^*$, and apply $\text{id} \otimes \text{id} \otimes \omega$ to both side. Then it becomes:

$$\Delta(a(\text{id} \otimes \omega)((1 \otimes c)E)) = (\Delta a)(1 \otimes (\text{id} \otimes \omega)((1 \otimes c)E)).$$

But, by Proposition 2.8, elements of the form $(\text{id} \otimes \omega)((1 \otimes c)E)$, $c \in C$, $\omega \in C^*$ are dense in B . It follows that $\Delta(ab) = (\Delta a)(1 \otimes b)$, for all $b \in B$. Since this is true for any $a \in A$, and since $\Delta(ab) = (\Delta a)(\Delta b)$, we may apply Proposition 3.3 (2) to see that $\Delta b = E(1 \otimes b)$, true for all $b \in B$. Moreover, since $E \in M(B \otimes C)$ and since we know that $M(B)$ and $M(C)$ commute, it follows that $E(1 \otimes b) = (1 \otimes b)E$, thereby proving the first statement.

The proof for $\Delta c = (c \otimes 1)E = E(c \otimes 1)$, $\forall c \in C$, is done similarly. \square

Corollary. *We have:*

- $\Delta y = E(1 \otimes y) = (1 \otimes y)E$, for all $y \in M(B)$;
- $\Delta x = (x \otimes 1)E = E(x \otimes 1)$, for all $x \in M(C)$.

Proof. Let $y \in M(B)$. Then for any $b \in B$, we have $yb \in B$. Then by Proposition 3.9,

$$\Delta(yb) = E(1 \otimes yb) = E(1 \otimes y)(1 \otimes b).$$

On the other hand,

$$\Delta(yb) = (\Delta y)(\Delta b) = (\Delta y)E(1 \otimes b) = (\Delta y)(1 \otimes b).$$

It follows that $(\Delta y)(1 \otimes b) = E(1 \otimes y)(1 \otimes b)$, for any $b \in B$. But note that B is a non-degenerate C^* -subalgebra of $M(A)$. Thus it follows easily that $(\Delta y)(1 \otimes m) = E(1 \otimes y)(1 \otimes m)$, for any $m \in M(A)$. In other words, $\Delta y = E(1 \otimes y)$. As before, using the fact that $M(B)$ and $M(C)$ commute, we also have: $\Delta y = (1 \otimes y)E$.

The proof for $\Delta x = (x \otimes 1)E = E(x \otimes 1)$, $\forall x \in M(C)$, is similar. \square

4. LEFT AND RIGHT HAAR WEIGHTS

Assume that we are given (A, Δ, E, B, ν) , where A is a C^* -algebra; Δ is a comultiplication on A ; B is a non-degenerate C^* -subalgebra of $M(A)$; ν is a KMS weight on B ; and E is the canonical idempotent element as described in the previous section.

Following the spirit of the authors' previous paper at the level of weak multiplier Hopf algebras [13] (see also the discussion given in Introduction), we will further require the existence of a left invariant weight φ and a right invariant weight ψ on (A, Δ) . The definitions for the invariant weights ("Haar weights") will be given in this section, together with their properties.

4.1. Definition of the invariant weights φ and ψ . In the commutative case when $A = C_0(G)$, the weights φ and ψ are the functionals that come from combining the left/right Haar systems with the weight ν at the level of the unit space. Recall the discussion given in Introduction, including the definition of the left invariance given in Equation (0.1) and the definition of the functional φ given in Equation (0.2). The following definition may not look obvious, but turns out to be a suitable generalization to our non-commutative setting.

Definition 4.1. Let the notation be as above.

- (1) A KMS weight φ on A is said to be *left invariant*, if for any $a \in \mathfrak{M}_\varphi$, we have: $\Delta a \in \overline{\mathfrak{M}}_{\text{id} \otimes \varphi}$ and $(\text{id} \otimes \varphi)(\Delta a) \in M(C)$.
- (2) A KMS weight ψ on A is said to be *right invariant*, if for any $a \in \mathfrak{M}_\varphi$, we have: $\Delta a \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}$ and $(\psi \otimes \text{id})(\Delta a) \in M(B)$.

Proposition 4.2. *Suppose φ and ψ are left and right invariant weights, respectively, for (A, Δ) . Then as a consequence of Definition 4.1, we have:*

- *If $a \in \mathfrak{N}_\varphi$, we have: $\Delta a \in \overline{\mathfrak{M}}_{\text{id} \otimes \varphi}$.*
- *If $a \in \mathfrak{N}_\psi$, we have: $\Delta a \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}$.*

Proof. We will just prove the first statement. Note that by definition, we have $a \in \mathfrak{N}_\varphi$ if and only if $a^*a \in \mathfrak{M}_\varphi^+$. Then by Definition 4.1, we have $\Delta(a^*a) \in \overline{\mathfrak{M}}_{\text{id} \otimes \varphi}$. But $\Delta(a^*a) = \Delta(a)^*\Delta(a)$, because Δ is a $*$ -homomorphism. It follows that $\Delta(a) \in \overline{\mathfrak{M}}_{\text{id} \otimes \varphi}$. \square

Remark. In the commutative case, the expression $(\text{id} \otimes \varphi)(\Delta a)$ corresponds to $\int_{G^{s(x)}} a(xz) d\lambda^{s(x)}(z)$, because the product xz is valid only when $t(z) = s(x)$. The left invariance condition for an ordinary locally compact groupoid, given in Equation (0.1), says that this is equal to $\int_{G^{t(x)}} a d\lambda^{t(x)}$. But the map $x \mapsto \int_{G^{t(x)}} a d\lambda^{t(x)}$ is really a function in $t(x)$, so contained in $M(C)$. Considering this, the left invariance condition given above in Definition 4.1 makes sense. In fact, except for the issues concerning the unboundedness, this is essentially the way the left/right invariance condition is formulated in the theory of weak multiplier Hopf algebras and the algebraic quantum groupoids [38].

In a different approach, in the measured quantum groupoid framework [21], [9], the left/right invariance conditions are formulated in terms of operator-valued weights T_L and T_R . In our case, they would correspond to $a \mapsto (\text{id} \otimes \varphi)(\Delta a)$ and $a \mapsto (\psi \otimes \text{id})(\Delta a)$. In the next subsection (Proposition 4.9), we further discuss the relationships between these operator-valued weights and our weights φ and ψ .

In what follows, assume ψ is a right invariant weight. We gather some technical results that follow as a consequence.

Proposition 4.3. *If $a \in \mathfrak{M}_\psi$ (so $\Delta a \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}$) and $\omega \in A^*$, then we have $(\text{id} \otimes \omega)(\Delta a) \in \overline{\mathfrak{M}}_\psi$ and $\psi((\text{id} \otimes \omega)(\Delta a)) = \omega((\psi \otimes \text{id})(\Delta a))$.*

Proof. Since $\Delta a \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}$, this is a consequence of Proposition 1.3. \square

Lemma 4.4. (1) *Let ω be a continuous linear functional on A . Then there exists a unique positive linear functional $|\omega|$ on A such that*

$$\| |\omega| \| = \|\omega\| \text{ and } \overline{\omega(a)}\omega(a) = |\omega(a)|^2 \leq \|\omega\| |\omega|(a^*a), \text{ for } a \in A.$$

(2) *For $z \in M(A \otimes A)$ and $\omega \in A^*$, we have:*

$$(\text{id} \otimes \omega)(z)^*(\text{id} \otimes \omega)(z) \leq \|\omega\| (\text{id} \otimes |\omega|)(z^*z).$$

Proof. (1). This is a standard result. See, for instance, Proposition 3.6.7 of [27].

(2). This is a consequence of (1). For proof, see Lemma 3.10 of [18]. \square

Proposition 4.5. *If $a \in \mathfrak{N}_\psi$ and $\omega \in A^*$, then $(\text{id} \otimes \omega)(\Delta a) \in \overline{\mathfrak{N}}_\psi$ and we have:*

$$\psi((\text{id} \otimes \omega)(\Delta a)^*(\text{id} \otimes \omega)(\Delta a)) \leq \|\omega\| |\omega|((\psi \otimes \text{id})(\Delta(a^*a))).$$

Proof. By the right invariance of ψ (see Proposition 4.2), we know that $\Delta a \in \overline{\mathfrak{N}}_{\psi \otimes \text{id}}$ and that $(\text{id} \otimes \omega)(\Delta a) \in \overline{\mathfrak{N}}_\psi$. So $(\text{id} \otimes \omega)(\Delta a)^*(\text{id} \otimes \omega)(\Delta a) \in \overline{\mathfrak{M}}_\psi$. But by Lemma 4.4, we have:

$$(\text{id} \otimes \omega)(\Delta a)^*(\text{id} \otimes \omega)(\Delta a) \leq \|\omega\|(\text{id} \otimes |\omega|)(\Delta(a^*a)).$$

Apply ψ to both sides. By Proposition 4.3, we have $\psi((\text{id} \otimes |\omega|)(\Delta(a^*a))) = |\omega|((\psi \otimes \text{id})(\Delta(a^*a)))$, from which the result of the proposition follows. \square

The following result is a consequence of the generalized Cauchy–Schwarz inequality given in Proposition 1.5.

Proposition 4.6. *Let $a \in \mathfrak{N}_\psi$, $b \in \overline{\mathfrak{N}}_\psi$, and $x \in M(A \otimes A)$. Then we have $\Delta(a^*)x(b \otimes 1) \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}$, and*

$$\begin{aligned} & (\psi \otimes \text{id})(\Delta(a^*)x(b \otimes 1))^* (\psi \otimes \text{id})(\Delta(a^*)x(b \otimes 1)) \\ & \leq \|(\psi \otimes \text{id})(\Delta(a^*a))\| \|(\psi \otimes \text{id})((b^* \otimes 1)x^*x(b \otimes 1))\|. \end{aligned}$$

Proof. We know $\Delta a \in \overline{\mathfrak{N}}_{\psi \otimes \text{id}}$, from the right invariance of ψ . Meanwhile, since $\overline{\mathfrak{N}}_\psi$ is a left ideal, we have $x(b \otimes 1) \in \overline{\mathfrak{N}}_{\psi \otimes \text{id}}$. So $\Delta(a^*)x(b \otimes 1) = (\Delta a)^*[x(b \otimes 1)] \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}$. Now apply the result of the generalized Cauchy–Schwarz inequality given in Proposition 1.5. \square

Of course, analogous results as in Propositions 4.3, 4.5, 4.6 will hold for the left invariant weight φ . All these results will be useful later. Meanwhile, the next result involves both weights ψ (right invariant) and φ (left invariant).

Proposition 4.7. *Let $a, b \in \mathfrak{N}_\psi$ and $c \in \mathfrak{N}_\varphi$. Then*

- $\Delta(a^*c)(b \otimes 1) = \Delta(a^*)(\Delta c)(b \otimes 1) \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}$;
- $(\psi \otimes \text{id})(\Delta(a^*c)(b \otimes 1)) \in \overline{\mathfrak{N}}_\varphi$.

Proof. First statement is an immediate consequence of Proposition 4.6. The proposition also says

$$\begin{aligned} & (\psi \otimes \text{id})(\Delta(a^*c)(b \otimes 1))^* (\psi \otimes \text{id})(\Delta(a^*c)(b \otimes 1)) \\ & \leq \|(\psi \otimes \text{id})(\Delta(a^*a))\| \|(\psi \otimes \text{id})((b^* \otimes 1)\Delta(c^*c)(b \otimes 1))\|. \end{aligned}$$

Apply here $\omega \in \mathcal{G}_\varphi$. Then it becomes:

$$\begin{aligned} & \omega((\psi \otimes \text{id})(\Delta(a^*c)(b \otimes 1))^* (\psi \otimes \text{id})(\Delta(a^*c)(b \otimes 1))) \\ & \leq \|(\psi \otimes \text{id})(\Delta(a^*a))\| \psi(b^*(\text{id} \otimes \omega)(\Delta(c^*c))b). \end{aligned}$$

This is true for any $\omega \in \mathcal{G}_\varphi$. Meanwhile, by the left invariance of φ , we know $\Delta(c^*c) \in \overline{\mathfrak{M}}_{\text{id} \otimes \varphi}$. So by the lower semi-continuity of φ , the inequality will still hold for φ in place of ω . Then we have:

$$\begin{aligned} & |\varphi((\psi \otimes \text{id})(\Delta(a^*c)(b \otimes 1))^* (\psi \otimes \text{id})(\Delta(a^*c)(b \otimes 1)))| \\ & \leq \|(\psi \otimes \text{id})(\Delta(a^*a))\| \|(\text{id} \otimes \varphi)(\Delta(c^*c))\| |\psi(b^*b)| < \infty. \end{aligned}$$

This shows that $(\psi \otimes \text{id})(\Delta(a^*c)(b \otimes 1)) \in \overline{\mathfrak{N}}_\varphi$. To be more precise, we have:

$$\begin{aligned} & \| \Lambda_\varphi((\psi \otimes \text{id})(\Delta(a^*c)(b \otimes 1))) \|_{\mathcal{H}_\varphi} \\ & \leq \| (\psi \otimes \text{id})(\Delta(a^*a)) \|_{\mathcal{H}_\varphi}^{\frac{1}{2}} \| (\text{id} \otimes \varphi)(\Delta(c^*c)) \|_{\mathcal{H}_\varphi}^{\frac{1}{2}} \| \Lambda_\psi(b) \|_{\mathcal{H}_\psi}. \end{aligned}$$

□

Observe that the results gathered so far in this subsection follow directly from the left/right invariance of φ , ψ , and we do not need to require the existence of the canonical idempotent E .

4.2. Definition of a locally compact quantum groupoid. We are trying to build our case that the data $(A, \Delta, E, B, \nu, \varphi, \psi)$ determines a valid locally compact quantum groupoid. The complete justification will have to wait until Part II, but we are now ready to state the full definition.

Definition 4.8. The data $(A, \Delta, E, B, \nu, \varphi, \psi)$ defines a *locally compact quantum groupoid*, if

- A is a C^* -algebra;
- $\Delta : A \rightarrow M(A \otimes A)$ is a comultiplication on A , in the sense of Definition 3.1;
- B is a non-degenerate C^* -subalgebra of $M(A)$;
- ν is a KMS weight on B ;
- E is the canonical idempotent of (A, Δ) as in Definition 3.7. Namely,
 - (1) $\Delta(A)(A \otimes A)$ is norm-dense in $E(A \otimes A)$ and $(A \otimes A)\Delta(A)$ is norm-dense in $(A \otimes A)E$;
 - (2) there exists a C^* -algebra $C \cong B^{\text{op}}$ with a $*$ -anti-isomorphism $R = R_{BC} : B \rightarrow C$ so that $E \in M(B \otimes C)$, and the triple (E, B, ν) forms a separability triple in the sense of Definition 2.1;
 - (3) $E \otimes 1$ and $1 \otimes E$ commute, and we have:

$$(\text{id} \otimes \Delta)(E) = (E \otimes 1)(1 \otimes E) = (1 \otimes E)(E \otimes 1) = (\Delta \otimes \text{id})(E).$$

- φ is a KMS weight, and is left invariant (Definition 4.1);
- ψ is a KMS weight, and is right invariant (Definition 4.1);
- There exists a one-parameter group of automorphisms $(\theta_t)_{t \in \mathbb{R}}$ of B such that $\nu \circ \theta_t = \nu$ and that $\sigma_t^\varphi|_B = \theta_t$, $\forall t \in \mathbb{R}$.

Remark. If $B = C = \mathbb{C}$, then necessarily $E = 1 \otimes 1$ and Δ becomes a non-degenerate $*$ -homomorphism. The left invariance condition will become $(\text{id} \otimes \varphi)(\Delta a) \in \mathbb{C}$, $a \in \mathfrak{M}_\varphi$. In this case, with an additional normalization requirement that $(\text{id} \otimes \varphi)(\Delta a) = \varphi(a)1$, and similarly for ψ , we will obtain the definition of a locally compact quantum group given by Kustermans and Vaes [19], together with the uniqueness (up to scalar multiplication) of the weights φ and ψ . In general, however, when the base C^* -algebra B is non-trivial, we would no longer have the uniqueness result for the Haar weights.

In the upcoming paper (Part II), we show that the data $(A, \Delta, E, B, \nu, \varphi, \psi)$ indeed allow us to construct the antipode map S . This would justify that we have a valid framework for locally compact quantum groupoids.

On the other hand, the existence requirement of the (bounded) canonical idempotent element E means that the definition is not fully general. The existence of E is really a condition imposed on the pair (B, ν) . See [14]. If we are to overcome this restriction and develop a theory without requiring the existence of E , we will have to consider the comultiplication as a map from A into a kind of a “balanced tensor product” $A * A$ (involving the base algebra B), whose definition is not yet clear in the C^* -algebra framework. In the purely algebraic framework of *multiplier Hopf algebroids* [31], the “Takeuchi product” is used, while in the von Neumann algebraic setting of *measured quantum groupoids* [21], [9], the “fiber product” (over a relative tensor product of Hilbert spaces) is used, which does not have a C^* -algebraic analog. See discussion given in Introduction.

Meanwhile, the last condition, saying that the the restriction to B of the automorphism group (σ_t^φ) is invariant under ν , is needed as one wishes to prove later the “quasi-invariance” of ν . Namely, we aim to prove as a consequence of the axioms that the automorphism groups (σ_t^φ) and (σ_t^ψ) commute, which is typically required already in the ordinary locally compact groupoid theory. This condition cannot be really removed, since it cannot be proved using the other axioms. A similar requirement appeared in Lesieur’s definition of a measured quantum groupoid [21]. More discussion on this condition will be given later, in Part II [15].

Let $(A, \Delta, E, B, \nu, \varphi, \psi)$ be a locally compact quantum groupoid, as in Definition 4.8. The next proposition shows the relationships between the weights ψ, φ with the expressions $(\psi \otimes \text{id})(\Delta x)$ and $(\text{id} \otimes \varphi)(\Delta x)$, which are in fact operator-valued weights. These results are analogous to Equation (0.2) in the case of ordinary groupoids, further strengthening the case for our choice of the right/left invariance conditions.

Proposition 4.9. *We have:*

- $\nu((\psi \otimes \text{id})(\Delta x)) = \psi(x)$, for $x \in \mathfrak{M}_\psi$.
- $\mu((\text{id} \otimes \varphi)(\Delta x)) = \varphi(x)$, for $x \in \mathfrak{M}_\varphi$.

Proof. Let $a \in \mathfrak{M}_\psi$. Then by the right invariance of ψ , we know $\Delta a \in \overline{\mathfrak{M}}_{\psi \otimes \text{id}}$ and $(\psi \otimes \text{id})(\Delta a) \in M(B)$. Apply here Δ . On the one hand, we have:

$$\Delta((\psi \otimes \text{id})(\Delta a)) = (\psi \otimes \text{id} \otimes \text{id})((\text{id} \otimes \Delta)(\Delta a)) = (\psi \otimes \text{id} \otimes \text{id})((\Delta \otimes \text{id})(\Delta a)),$$

where we used the coassociativity of Δ . Meanwhile, by Corollary to Proposition 3.9, we have:

$$\Delta((\psi \otimes \text{id})(\Delta a)) = E(1 \otimes (\psi \otimes \text{id})(\Delta a)) = (\psi \otimes \text{id} \otimes \text{id})((1 \otimes E)\Delta_{13}(a)).$$

It thus follows that

$$(\psi \otimes \text{id} \otimes \text{id})((\Delta \otimes \text{id})(\Delta a)) = (\psi \otimes \text{id} \otimes \text{id})((1 \otimes E)\Delta_{13}(a)). \quad (4.1)$$

Let $y = \tilde{y}c$, where $\tilde{y} \in A$ and $c \in D(\gamma_C)$, which is dense in C . Since C is a non-degenerate C^* -subalgebra of $M(A)$, such elements form a dense subset in A . Multiply $1 \otimes y = 1 \otimes \tilde{y}c$ to both sides of Equation (4.1), from left. Then the equation becomes:

$$(\psi \otimes \text{id} \otimes \text{id})((\Delta \otimes \text{id})((1 \otimes \tilde{y}c)(\Delta a))) = (\psi \otimes \text{id} \otimes \text{id})((1 \otimes 1 \otimes \tilde{y}c)(1 \otimes E)\Delta_{13}(a)).$$

Let $\omega \in A^*$, and apply $\text{id} \otimes \omega$ to the equation above. Then it becomes:

$$\begin{aligned} & (\psi \otimes \text{id})(\Delta((\text{id} \otimes \omega)[(1 \otimes \tilde{y}c)(\Delta a)])) \\ &= (\psi \otimes \text{id})((\text{id} \otimes \text{id} \otimes \omega)[(1 \otimes 1 \otimes \tilde{y})(1 \otimes 1 \otimes c)(1 \otimes E)\Delta_{13}(a)]). \end{aligned}$$

Since $c \in D(\gamma_C)$, we have $(1 \otimes c)E \in \mathfrak{M}_{\nu \otimes \text{id}}$, and $(\nu \otimes \text{id})((1 \otimes c)E) = c$. In particular, we can see that the right side of the above equation is actually contained in \mathfrak{M}_{ν} . So let us apply ν to both sides of the equation. Then:

$$\begin{aligned} & \nu((\psi \otimes \text{id})(\Delta((\text{id} \otimes \omega)[(1 \otimes \tilde{y}c)(\Delta a)]))) \\ &= \psi((\text{id} \otimes \omega)[(1 \otimes \tilde{y})(1 \otimes (\nu \otimes \text{id})((1 \otimes c)E))(\Delta a)]) \\ &= \psi((\text{id} \otimes \omega)[(1 \otimes \tilde{y}c)(\Delta a)]). \end{aligned}$$

For convenience, write: $x = (\text{id} \otimes \omega)[(1 \otimes \tilde{y}c)(\Delta a)]$. Then this result can be written as $\nu((\psi \otimes \text{id})(\Delta x)) = \psi(x)$.

Note that by Lemma 3.2, the elements of the form $(\text{id} \otimes \omega)[(1 \otimes \tilde{y}c)(\Delta a)]$ are dense in A . What all this means is that the equation $\nu((\psi \otimes \text{id})(\Delta x)) = \psi(x)$ is true for any $x \in A$, as long as the expression makes sense. In particular, if $x \in \mathfrak{M}_{\psi}$, making the right side to be valid, we would have $(\psi \otimes \text{id})(\Delta x) \in \overline{\mathfrak{M}_{\nu}}$ and the equation would hold.

Similarly, we can show that if $x \in \mathfrak{M}_{\varphi}$ then $(\text{id} \otimes \varphi)(\Delta x) \in \overline{\mathfrak{M}_{\mu}}$, and that $\mu((\text{id} \otimes \varphi)(\Delta x)) = \varphi(x)$. \square

In Part II, to justify that the definition of a locally compact quantum groupoid $(A, \Delta, E, B, \nu, \varphi, \psi)$ as given in Definition 4.8 is a valid one, we will carry out the constructions for left/right regular representations (in terms of certain “multiplicative partial isometries”) and the antipode map (expressed using a polar decomposition). The overall look is similar to the case of locally compact quantum groups [19], [20], [24], [34], which is to be expected. But of course, the current case is more general, since $E \neq 1 \otimes 1$.

5. EXAMPLES

At this stage, we have only stated the definition of our quantum groupoid, with the main theory and construction postponed to upcoming papers. As this is the case, we do not plan to give any lengthy discussion on examples. Instead, we will mention here only a few relatively straightforward ones. Once we further develop the theory (in Part II and Part III), we plan to explore some more examples that fit our framework.

5.1. Finite quantum groupoids. The notion of *finite quantum groupoids* (or *weak Hopf C^* -algebras*) goes back to Böhm, Nill, Szlachányi. See [1], [25], [32]. It is determined by the data $(A, \Delta, \varepsilon, S)$, where A is a finite-dimensional C^* -algebra; $\Delta : A \rightarrow A \otimes A$ is the “comultiplication”, which is a (not necessarily unital) $*$ -homomorphism satisfying the coassociativity condition; $\varepsilon : A \rightarrow \mathbb{C}$ is the “counit”; and $S : A \rightarrow A$ is the “antipode”, together with some number of axioms regarding the maps Δ, ε, S .

Then it is known that there exists a unique faithful positive linear functional $\varphi : A \rightarrow \mathbb{C}$ (the “normalized Haar measure”) such that $\varphi \circ S = \varphi$ and $(\text{id} \otimes \varphi)(\Delta(1)) = 1$. There also exist two counital maps ε_s and ε_t , given by

$$\varepsilon_s(x) := (\text{id} \otimes \varepsilon)((1 \otimes x)\Delta(1)), \quad \text{and} \quad \varepsilon_t(x) := (\varepsilon \otimes \text{id})(\Delta(1)(x \otimes 1)).$$

It is shown that $S \circ \varepsilon_t = \varepsilon_s \circ S$, and the images of these maps become subalgebras of A . Namely, $B = \varepsilon_s(A)$ and $C = \varepsilon_t(A)$.

Write $E := \Delta(1)$, which is a projection ($E \neq 1 \otimes 1$ in general). And let $\nu := \varphi|_B$. Then it is not difficult to show that $(A, \Delta, E, B, \nu, \varphi, \varphi)$ determines a locally compact quantum groupoid, satisfying Definition 4.8.

Even if this is finite-dimensional, there are some interesting examples of weak Hopf C^* -algebras or finite quantum groupoids, including examples coming from depth-2 subfactors. See [25] and [32].

5.2. Locally compact quantum groups. The resemblance of our definition to that of *locally compact quantum groups* in the sense of [19] has been noted already. Indeed, if $(A, \Delta, \varphi, \psi)$ determines an arbitrary locally compact quantum group (A does not have to be finite-dimensional or compact), then just take $B = \mathbb{C}$ and $E = 1 \otimes 1$ to regard it as a locally compact quantum groupoid. See Remark following Definition 4.8.

This observation agrees well with the case of ordinary groups, which are special cases of groupoids (when the set of units contain only one element).

5.3. Linking quantum groupoids (De Commer). In [5], [6], De Commer considers the notion of a *linking quantum groupoid*, as he establishes some Morita-type correspondence relation between quantum groups. Loosely speaking, he considers quantum groupoids with a finite-dimensional base algebra, with the quantum groups on the “corners”. While the overall C^* -algebra is not finite-dimensional, the base algebra is, and it is possible to show that these examples fit well with our framework.

More recently, Enock gave a more general construction, in the setting of measured quantum groupoids [10].

5.4. Partial compact quantum groups (De Commer, Timmermann). In [8], De Commer and Timmermann introduced the notion of *partial compact quantum groups*. In short, a typical partial compact quantum group looks like $A = \bigoplus_{k,l,m,n \in I} {}^k m A_n^l$, a direct sum of the vector spaces ${}^k m A_n^l$, with indices contained in a discrete set I . It would carry a partial bialgebra structure, with the partial multiplication $M : {}^k m A_s^r \otimes {}^r s A_n^l \rightarrow {}^k m A_n^l$, and the

partial comultiplications $\Delta_{r,s} : {}^k A_n^l \rightarrow {}^k A_s^l \otimes {}^r A_n^s$. The index set I plays the role of the “object set”, and one can also give suitable definitions for the $*$ -structure, the counit, the antipode, and the invariant integral, making this an algebraic quantum groupoid of a weak multiplier Hopf algebra type. In a sense, this framework may be regarded as an extension of Hayashi’s earlier notion of a *face algebra* [11].

The C^* -algebraic partial compact quantum group was studied in [7]. With $E = \Delta(1)$, this would provide an example of a quantum groupoid in our framework developed in this paper. It turns out that there exists a unique left/right invariant weight φ (see Theorem 2.11 of [8]). It is observed that φ is obtained as a direct sum of the (bounded) states φ_{km} on the subalgebras ${}^k A_m^k$, so there are less technical issues involved. Dynamical quantum $SU(2)$ group is shown to fit into this framework.

REFERENCES

- [1] G. Böhm, F. Nill, and K. Szlachányi, *Weak Hopf algebras I. Integral theory and C^* -structure*, J. Algebra **221** (1999), 385–438.
- [2] G. Böhm and K. Szlachányi, *Weak Hopf algebras II. Representation, dimensions, and Markov trace*, J. Algebra **233** (2000), 156–212.
- [3] R. Brown, *Topology and Groupoids*, BookSurge Publishing, 2006.
- [4] F. Combes, *Poids sur une C^* -algèbre*, J. Math. Pures et Appl. **47** (1968), 57–100 (French).
- [5] K. De Commer, *Galois coactions and cocycle twisting for locally compact quantum groups*, J. Operator Theory **66** (2011), 59–106.
- [6] ———, *On a correspondence between $SU_q(2)$, $\tilde{E}_q(2)$, and $\widetilde{SU}_q(1,1)$* , Comm. Math. Phys. **304** (2011), 187–228.
- [7] ———, *C^* -algebraic partial compact quantum groups*, J. Funct. Anal. **270** (2016), 3962–3995.
- [8] K. De Commer and T. Timmermann, *Partial compact quantum groups*, J. Algebra **438** (2015), 283–324.
- [9] M. Enock, *Measured Quantum Groupoids in Action*, Mémoires de la SMF, no. 114, Soc. Math. Fr., 2008.
- [10] ———, *Morita equivalence of measured quantum groupoids. Application to deformation of measured quantum groupoids by 2-cocycles*, Operator algebras and quantum groups, vol. 98, Banach Center Publications, 2012, pp. 107–198.
- [11] T. Hayashi, *A brief introduction to face algebras*, in: New trends in Hopf algebra theory (La Falda, 1999), Contemp. Math. vol. 267, Amer. Math. Soc., 2000, pp. 161–176.
- [12] P.J. Higgins, *Notes on Categories and Groupoids*, Van Nostrand Reinhold, 1971.
- [13] B.J. Kahng and A. Van Daele, *The Larson–Sweedler theorem for weak multiplier Hopf algebras*, Comm. Algebra **46** (2018), 1–27.
- [14] ———, *Separability idempotents in C^* -algebras*, preprint (accepted to appear in J. Noncommut. Geom.; also available as [arXiv:1609.04132](https://arxiv.org/abs/1609.04132)).
- [15] ———, *A class of C^* -algebraic locally compact quantum groupoids Part II: Main theory*, preprint ([arXiv:1711.00704](https://arxiv.org/abs/1711.00704)).
- [16] ———, *A class of C^* -algebraic locally compact quantum groupoids Part III: Duality*, in preparation.
- [17] J. Kustermans, *KMS-weights on C^* -algebras*, preprint ([funct-an/9704008](https://arxiv.org/abs/funct-an/9704008)).

- [18] J. Kustermans and S. Vaes, *Weight theory for C^* -algebraic quantum groups*, preprint ([arXiv:math/9901063](https://arxiv.org/abs/math/9901063)).
- [19] ———, *Locally compact quantum groups*, Ann. Scient. Éc. Norm. Sup., 4^e série **t. 33** (2000), 837–934.
- [20] ———, *Locally compact quantum groups in the von Neumann algebraic setting*, Math. Scand. **92** (2003), no. 1, 68–92.
- [21] F. Lesieur, *Measured Quantum Groupoids*, Mémoires de la SMF, no. 109, Soc. Math. Fr., 2007.
- [22] J.H. Lu, *Hopf algebroids and quantum groupoids*, Internat. J. Math. **7** (1996), 47–70.
- [23] G. Maltsiniotis, *Groupoïdes quantiques*, C. R. Acad. Sci. Paris **314** (1992), 249–252.
- [24] T. Masuda, Y. Nakagami, and S. Woronowicz, *A C^* -algebraic framework for quantum groups*, Internat. J. Math. **14** (2003), no. 9, 903–1001.
- [25] D. Nikshych and L. Vainerman, *Finite quantum groupoids and their applications*, New Directions in Hopf Algebras, vol. 43, MSRI Publications, 2002, pp. 211–262.
- [26] A.L.T. Paterson, *Groupoids, Inverse Semigroups, and their Operator Algebras*, Progress in Mathematics, no. 170, Birkhäuser, 1998.
- [27] G.K. Pedersen, *C^* -algebras and their Automorphism Groups*, Academic Press, 1979.
- [28] J.N. Renault, *A Groupoid Approach to C^* -algebras*, Lecture Notes in Mathematics, no. 793, Springer-Verlag, 1980.
- [29] S. Strătilă, *Modular Theory in Operator Algebras*, Abacus Press, 1981.
- [30] M. Takesaki, *Theory of Operator Algebras II*, Encyclopaedia of Mathematical Sciences, vol. 125, Springer-Verlag, 2002.
- [31] T. Timmermann and A. Van Daele, *Regular multiplier Hopf algebroids. Basic theory and examples*, preprint ([arXiv:1307.0769](https://arxiv.org/abs/1307.0769)).
- [32] J.M. Vallin, *Groupoïdes quantiques finis*, J. Algebra **239** (2001), 215–261 (French).
- [33] A. Van Daele, *Multiplier Hopf algebras*, Trans. Amer. Math. Soc. **342** (1994), no. 2, 917–932.
- [34] ———, *Locally compact quantum groups. A von Neumann algebra approach*, SIGMA **10** (2014), no. 082.
- [35] ———, *Separability idempotents and multiplier algebras*, preprint (available at [arXiv.org](https://arxiv.org/), as [arXiv:1301.4398v1](https://arxiv.org/abs/1301.4398v1) and [arXiv:1301.4398v2](https://arxiv.org/abs/1301.4398v2)).
- [36] A. Van Daele and S. Wang, *Weak multiplier Hopf algebras. Preliminaries, motivation and basic examples*, Operator algebras and quantum groups, vol. 98, Banach Center Publications, 2012, pp. 367–415.
- [37] ———, *Weak multiplier Hopf algebras. The main theory*, J. Reine Angew. Math. (Crelles Journal) **705** (2015), 155–209.
- [38] ———, *Weak multiplier Hopf algebras III. Integrals and duality*, preprint ([arXiv:1701.04951](https://arxiv.org/abs/1701.04951)).
- [39] P. Xu, *Quantum groupoids*, Comm. Math. Phys. **216** (2001), 539–581.
- [40] T. Yamanouchi, *Duality for generalized Kac algebras and a characterization of finite groupoid algebras*, J. Algebra **163** (1994), 9–50.

DEPARTMENT OF MATHEMATICS AND STATISTICS, CANISIUS COLLEGE, BUFFALO, NY 14208, USA

E-mail address: kahngb@canisius.edu

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF LEUVEN, CELESTIJNENLAAN 200B, B-3001 HEVERLEE, BELGIUM

E-mail address: Alfons.VanDaele@wis.kuleuven.be